

# **LECTURE PLAN**

**MCA**

**SEMESTER II**

**FOR PRIVATE CIRCULATION**

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# **LECTURE PLAN**

## **DATA AND FILE STRUCTURES**

**MCA-102**

**COURSE OUTLINE**  
**MCA – II SEMESTER**  
**DATA AND FILE STRUCTURES - MCA 102**

**L – 3 P - 1 Credit - 04**

**OBJECTIVE:**

The purpose of this course is to enable the students to have a clear description of the data structures. A data structure is a way of storing and retrieving data efficiently and effectively. In this course the basic terminologies related to data structures and the concepts will be taught with examples. A detailed study of data organization and certain data structures will be covered along with understanding of different operations which are applied on these data structures. In this course various data structures will be implemented using C programming language.

**INTERNAL ASSESSMENT AND ASSIGNMENT**

**25 marks**

1. Class Test-I – (Written Test)

15 marks

3. Class Assessment + Attendance

10 marks

**COURSE CONTENTS:**

**UNIT - I**

**(08 Hours)**

**1. Fundamentals of algorithm analysis:**

- Big ‘O’ notations
- Time and space complexity of algorithms
- Elementary data structures and their applications Arrays
- Ordered lists
- Representation of arrays
- Linked lists
- Stacks, queues and dequeues
- Double stacks, multistacks and multiqueues
- Polynomial arithmetic
- Postfix and prefix arithmetic expression conversion and evaluations.

**UNIT – II**

**(10 Hours)**

**2. Trees:**

- Binary trees
- Binary search trees basic operations
- Tree traversals(recursive and stack based non-recursive)
- Heaps and priority queues
- Threaded binary tree
- AVL trees
- B-Tree: need, properties, creation and uses
- B+ tree, B\* trees

## **UNIT – III**

**(16 Hours)**

### **3. Graphs:**

- Representation (Matrix and Linked)
- Traversal and connected components
- Spanning trees
- Shortest path and transitive closure
- Topological sort
- Activity network, critical path and path enumeration
- Dijkstra's Algorithm
- Floyd Warshall's Algorithm
- Coloring of graphs
- Minimum Spanning tree algorithms: Kruskal's and Prim's Algorithm.
- Binary search
- Hash function & Hash table
- Search tree
- Internal sort: Radix sort and Insertion sort
- Selection sort
- Quick sort
- Shell sort
- Merge sort

## **UNIT – IV**

**(08 Hours)**

### **4. Files**

- Sequential file organization
- Creating, updating and retrieving from sequential files
- Advantages and disadvantages of sequential file organization
- Data representation and density
- Parity and error control techniques
- Devices and channels
- Double buffering and block buffering
- Handling sequential files in C language
- Seeking, positioning, reading and writing binary files in C
- External sorting
- Merging files k way and polyphase merge

## STUDY MATERIAL FOR THE SUBJECT

Following will be the study material for topics of data structures and students are advised to go through the material for thorough understanding of the subject.

### ➤ MAIN TEXT BOOK

1. **Author's Name(s):** Schaum's outline series.  
**Title:** Data Structures  
**Edition:** Ninteenth**Year:** 2014(Reprint)  
**Publisher:** Tata McGraw Hill (ibid 1)

### ➤ REFERENCE BOOKS

1. **Author's Name(s) :**S.K. Srivastava  
**Title:** Data Structures through C in Depth  
**Edition:** II **Year:** 2014(Reprint)  
**Publisher:** BPB Publication (ibid 2)
2. **Author's Name(s) :**R. B. Patel  
**Title:** Expert data structures with C  
**Edition:** III **Year:** 2012  
**Publisher:** Khanna Book Publishing Co. Pvt. Ltd. (ibid 3)
3. **Author's Name(s):** Mark Allen Weiss  
**Title:** Data Structure and Algorithm Analysis in C  
**Edition:** II **Year:** 2014  
**Publisher:** Pearson (ibid 4)
4. **Author's Name(s):** E. Horowitz and S. Sahani  
**Title:** Fundamentals of Data Structures in C  
**Edition:** II **Year:** 2008  
**Publisher:** Universities Press (ibid 5)

### ➤ PERIODICALS

1. Partial Evaluation and Program Manipulation (PEPM'11), Proceedings ACM Press, 2011, <http://www.iai.uni-bonn.de/~jv/pepm11.pdf>.
2. Oceans, October, 2012, <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6404783&url=http%3A%2F%2Fieeexplore.ieee.org%2Fstamp%2Fstamp.jsp%3Farnumber%3D6404>
3. National Journal of System & Information Technology, Vol. 5 No. 2, December 2012
4. International Journal of Computer Applications, November 2012, Volume 57, No. 11, <http://www.ijcaonline.org/archives/volume57/number11/9156-2056>.
5. SIGACT News, Vol. 44, No. 3, September 2013.

6. IUP Journal of Computer Science, Vol. 7, No. 2, April 2013
7. IUP Journal of Information Technology, Vol. 9, No.1, March 2013
8. International Journal of Computing and Applications, Vol. 8, No.2, July-December 2013
9. TENCON 2013 -IEEE Region 10 Conference,  
[http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6719008&url=http%3A%2F%2Fieeexplore.ieee.org%2Fxpls%2Fabs\\_all.jsp%3Farnumber%3D6719008](http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6719008&url=http%3A%2F%2Fieeexplore.ieee.org%2Fxpls%2Fabs_all.jsp%3Farnumber%3D6719008)
10. International Conference Recent Trends in Engineering & Technology (ICRET'2014), Feb 13-14, 2014, [http://eprints2.utm.edu.my/11670/1/2014\\_Conf.\\_13Feb\\_ICRET2014\\_BATAM\\_\(1\).pdf](http://eprints2.utm.edu.my/11670/1/2014_Conf._13Feb_ICRET2014_BATAM_(1).pdf)
11. International Journal of Advanced Research in Computer Science and Software Engineering, February 2014,  
[http://www.ijarcsse.com/docs/papers/Volume\\_4/2\\_February2014/V4I2-0170.pdf](http://www.ijarcsse.com/docs/papers/Volume_4/2_February2014/V4I2-0170.pdf)
12. ERIM Report Series, September 2014, <http://ssrn.com/abstract=2491023>
13. Operations Research Proceedings 2014, [http://link.springer.com/chapter/10.1007/978-3-319-07001-8\\_25](http://link.springer.com/chapter/10.1007/978-3-319-07001-8_25)
14. Computer Graphics and Applications, IEEE, December 2016, <https://www.computer.org/cga/>
15. Data Structures and Algorithms / by E.W.Dijkstra.

# UNIT 1: FUNDAMENTALS OF ALGORITHM ANALYSIS

## LECTURES 1-3

### ARRAYS

#### OBJECTIVE:

The objective of these lectures is to make student familiar with one of the data structure called array which is used to store multiple values in one variable.

#### CONTENTS:

- Complexity of Algorithms
- Big 'O' notations
- Introduction to Data Structures
- Classification of Data Structures
  - Logical Data Structures
  - Primitive and Simple Structures
  - Linear and Nonlinear Structures
- Concept of Arrays
- Linear Arrays
- Traversing Linear Arrays
- Insertion and Deletion in arrays
- Multidimensional Arrays
- Two-Dimensional Arrays
- Representation of a 2-D array in memory
- Matrices
- Algebra of Matrices
- Sparse Matrices
- Triangular Array
- Tridiagonal Array

#### ASSIGNMENTS FROM QUESTION BANK:

- 1 Refer Unit 1 Section II: Q 7, 16, 19, 45,46,47
- 2 Refer Unit 1 Section III: Q 18, 26, 31,32

#### OTHER ASSIGNMENTS:

- 1 ibid 1, Page No.2.31, Q 2.1, 2.2, 2.3, 2.4
- 2 ibid 2, Page No.4.39 Q4.1, 4.3, 4.15



## **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 2.1-2.28

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 4-9
- 2 ibid 3, Page No. 47-59
- 3 ibid 4, Page No. 31-55
- 4 ibid 5, Page No. 21-23

### **WEBSITE:**

- 1 <http://cpp.datastructures.net/presentations/Analysis.pdf>

### **ARTICLE:**

- 1 Pirenne B., Guillemot E. ,“Beyond Data Management: How to foster data exploitation and better Science”, Oceans, October, 2012,<http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6404783&url=http%3A%2F%2Fieeexplore.ieee.org%2Fstamp%2Fstamp.jsp%3Farnumber%3D6404783>

## **LECTURES 4-6**

### **SIMPLE DATA STRUCTURE – STACK AND LINKED LIST**

#### **OBJECTIVE:**

Data processing frequently involves storing and processing data organized into lists. One way is to store in arrays which is covered in above lecture and other way is in lists which students will learn in these following lectures. These lectures will focus on the concept of stacks and linked lists.

#### **CONTENTS:**

- Definitions
- Singly and doubly linked list
- Array Representation of Stack
- Linked representation of Stack
- Double stacks
- Multistacks
- Application of Stacks
  - Polish Notation
  - Evaluation of a Postfix Expression

- Algorithm of postfix expression
- Transforming Infix Expressions into Postfix Expressions
- Quick sort
- Concept of Recursion

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit1 Section II: Q 6, 8-13, 18, 20, 32, 34, 41, 42, 43,44, 45
- 2 Refer Unit1 Section III:Q 1, 5, 6, 8, 10, 14-16, 19, 25, 36, 37, 38-42

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.5.51, Supplementary Problem Q 5.1, 5.2
- 2 ibid 2, Page No.105 Q 6,12,34,38 page no 146 Q18 (ii, iii), 19(iii), 20, 22
- 3 ibid 3, Page No.276 Q 5.1, 5.4, 5.5, 5.6, 5.8, 5.9 Page No 314 Q 6.1, 6.3, 6.4, 6.8, 6.9
- 4 ibid 5, Page No. 32

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No 5.2-5.41, 6.2-6.17

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 48-104,108-113,133-145
- 2 ibid 3, Page No. 188-299
- 3 ibid 4, Page No. 57-77

#### **ARTICLES:**

- 1 Debjit Roy, Akash Gupta,” Optimal Stack Layout in a Sea Container Terminal with Automated Lifting Vehicles”, ERIM Report Series, September 2014, <http://ssrn.com/abstract=2491023>
- 2 MikkelThorup, “Efficient Algorithms and Data Structures”, [www.di.ku.dk/english/research/apl-group/eads/project.pdf](http://www.di.ku.dk/english/research/apl-group/eads/project.pdf)
- 3 Joao Paulo Fernandes, Joao Saraiva, Daniel Seidel, and Janis Voigtländer, “Strictification of Circular Programs”, Partial Evaluation and Program Manipulation (PEPM'11), Proceedings. ACM Press, 2011

#### **WEBSITES:**

- 1 <http://cpp.datastructures.net/presentations/Stacks.pdf>
- 2 <http://www.di.ku.dk/english/research/apl-group/eads/project.pdf>

## **LECTURES 7-8**

### **SIMPLE DATA STRUCTURE - QUEUE**

#### **OBJECTIVE:**

These lecture focuses on a data structure Queue, a linear list of elements in which deletion can take place only at one end called front and insertion can take place only at other end called rear .

#### **CONTENTS:**

- Definition
- Representation of Queues
- Algorithm for insertion and deletions in queues
- Types of Queues
  - Dequeue
    - Input-restricted dequeue
    - Output-restricted dequeue
  - Priority Queues
  - One-Way List Representation of a Priority Queue
  - Array Representation of a Priority Queue
  - Multiqueues

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit1 Section I: Q 1, 2, 3, 4, 5, 35
- 2 Refer Unit1 Section II:Q 11, 14, 17, 20

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.6.61 Supplementary problem Q 6.3 – 6.12
- 2 ibid 2, Page No. 145 Q7, 13
- 3 ibid 3, Page No. 314 Q6.5, 6.7

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No- 6.36-6.43

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 114-132
- 2 ibid 3, Page No. 301-313
- 3 ibid 4, Page No. 78-101

## **ARTICLE:**

- 1 Frank Gurski, Jochen Rethmann, “ Moving Bins from Conveyor Belts onto Pallets using FIFO”, Operations Research Proceedings 2014, pp 185-191, [http://link.springer.com/chapter/10.1007/978-3-319-07001-8\\_25](http://link.springer.com/chapter/10.1007/978-3-319-07001-8_25)

## **WEBSITE:**

- 1 <http://cpp.datastructures.net/presentations/Queues.pdf>

## **UNIT II: TREES**

### **LECTURES 9-13**

#### **INTRODUCTION TO TREES**

#### **OBJECTIVE:**

In these lectures students will get to learn about a non linear data structure tree which is mainly used to represent data containing hierarchical relationship between elements.

#### **CONTENTS:**

- General trees
- Binary search trees
- Properties of tree
- Tree traversal
  - Pre-order
  - Post-order
  - In-order
- Representation of trees
- Selection trees
- Applications of trees:
  - External sort
  - Priority queues
    - Merge
    - Add
    - Delete
  - Heaps sort
    - Adding an element
    - Deleting an element
- Height biased leftist tree
  - Definition
  - Merging Height biased leftist tree
  - Time complexity

- Weight biased leftist tree

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit II Section I: Q 1-8, 13-21, 23, 24, 30
- 2 Refer Unit II Section II: Q 1, 4, 6

### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No.1
- 2 ibid 3, Page No. 419, Q 7.1

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page 7.1-7.30

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 176-185
- 2 ibid 3, Page No. 240-255
- 3 ibid 4, Page No. 105-107

### **ARTICLES:**

- 1 Nitin Arora, Pradeep Kumar Kaushik, Satendra Kumar “Iterative Method for Recreating a Binary Tree from its Traversals”, International Journal of Computer Applications, November 2012, Volume 57, No. 11, pp 6-13, <http://www.ijcaonline.org/archives/volume57/number11/9156-2056>
- 2 R. Pagh. Locality-sensitive hashing without false negatives. In Proceedings of the Twenty Seventh Annual ACM-SIAM Symposium on Discrete Algorithms, SODA 2016, Arlington, VA, USA, January 10-12, 2016, pages 1–9, 2016.

### **WEBSITE:**

- 1 <http://cpp.datastructures.net/presentations/Trees.pdf>

## **LECTURES 14-18**

### **BINARY TREES**

### **OBJECTIVE:**

These lectures will explain the concept of one of the most important data structures in computer science, a binary search tree. This is important to study as it searches an element in  $\log n$  time.

The basic purpose is to store information into a desired tree pattern where searching and sorting will take less time and solve as many as problem.

### **CONTENTS:**

- Definition
- Binary Tree Traversal (recursive and non-recursive)
  - Preorder
  - Postorder
  - Inorder
- Data Structures for Binary Trees
  - Arrays
  - Pointer based
- Binary search tree basic operations
  - Definition
  - Search in BST
  - Insertion in BST
  - Deletion in BST
- Threaded binary trees
  - Definition
  - Insertions and deletions
- AVL Trees
  - Definition
  - Maximum Height of an AVL Tree
  - Rotation in BST
  - Insertions and Deletions
- The family of B-trees
  - B\* - trees
  - B<sup>+</sup> - trees
  - Prefix B<sup>+</sup> - trees
  - Need, properties, creation and uses

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit II Section I: Q 7, 9, 10, 15 – 30
- 2 Refer Unit II Section II: Q 1, 2, 3, 5, 7-10, 11, 12, 13, 35, and 36,40,41,42
- 3 Refer Unit II Section III: Q 14,15

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.7.92, Supplementary Problem Q 7.1-7.5 and 7.9 – 7.14
- 2 ibid 2, Page No. 322, Q4, 9, 27,34,38,39
- 3 ibid 3, Page No. 419, Q 7.2-7.6, 7.8, 7.9, 7.23-7.27, 7.31, 7.32, 7.33

## **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 7.31-7.64

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 186-322
- 2 ibid 3, Page No. 319-418
- 3 ibid 4, Page No. 111-162

### **ARTICLES:**

- 1 Joao Paulo Fernandes, Joao Saraiva, Daniel Seidel, and Janis Voigtländer “Stratification of Circular Program”, Partial Evaluation and Program Manipulation (PEPM'11), Proceedings ACM Press, 2011, <http://www.iai.uni-bonn.de/~jv/pepm11.pdf>.
- 2 Hashing for indexing binary inner products and set containment. In Proceedings of the 24th International Conference on World Wide Web, WWW 2015, Florence, Italy, May 18-22, 2015, pages 981–991, 2015.

### **WEBSITES:**

- 1 <http://cpp.datastructures.net/presentations/BinarySearchTrees.pdf>
- 2 <http://cpp.datastructures.net/presentations/AVLTrees.pdf>

## **UNIT III: GRAPHS**

### **LECTURES 19-24**

#### **INTRODUCTION TO GRAPHS**

#### **OBJECTIVE:**

These lectures will help the students to understand one of the most versatile data structure graphs which is like a tree and to study some algorithms used for traversing this data structure. This topic will enhance the knowledge on undirected and directed graph and explain their examples which are oriented from our daily life computation problem.

#### **CONTENTS:**

- Basic concepts and definitions
- Path
- Elementary Graph Operations
  - Depth first Search
  - Breadth first Search

- Connected Components
- Bi-connected components
- Representation of Graph
  - Matrix representation
  - Linked representation
- Shortest path algorithms
  - Warshall's Algorithm
  - Warshall's Modified Algorithm
  - Dijkstra's Technique
  - Floyd's Technique
- Coloring of graphs

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit III Section I: Q 26 – 28, 30 - 33, 36 - 38, 40 – 44, 48, 49, 59-62 ,63
- 2 Refer Unit III Section II:Q 12, 13, 20, 25, 34, 36,39,40

### **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 416 Q8,9

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No.8.1-8.8

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 346-397
- 2 ibid 3, Page No. 423-48
- 3 ibid 4, Page No. 299-359

### **ARTICLES:**

- 1 TengkuNadzion, Amar Faiz, “A Brief Analysis of Gravitational Search Algorithm (GSA) Publication from 2009 to May 2013”, International Conference Recent Trends in Engineering & Technology (ICRET'2014), Feb 13-14, 2014,  
[http://eprints2.utem.edu.my/11670/1/2014\\_Conf.\\_13Feb\\_ICRET2014\\_BATAM\\_\(1\).pdf](http://eprints2.utem.edu.my/11670/1/2014_Conf._13Feb_ICRET2014_BATAM_(1).pdf)
- 2 Paul Swoboda, Bjoern Andres, “A Message Passing Algorithm for the Minimum Cost Multicut Problem”, <https://arxiv.org/abs/1612.05441>, Dec 2016.

### **WEBSITE:**

- 1 <http://cpp.datastructures.net/presentations/Graph.pdf>



## **LECTURES 25-28**

### **SPANNING TREES**

#### **OBJECTIVE:**

In field of graph theory a spanning tree  $T$  of a connected, undirected graph  $G$  is a tree composed of all the vertices and some (or perhaps all) of the edges of  $G$ . In these lecture students will study the algorithms to find the shortest path in these tree like prim's &kruskal algorithm.

#### **CONTENTS:**

- Graph Traversal
- Spanning Trees
- Connectivity
  - Connectivity in undirected Graphs
  - Connectivity in directed Graphs
- Transitive closure
- Topological Sort
- Activity network
- Critical path
- Path enumeration
- Minimum cost spanning trees
  - Prim's Algorithm
  - Kruskal's Algorithm

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit II Section I: Q 29, 34, 55, 57,58
- 2 Refer Unit II Section II: Q 11, 14, 15 – 18, 20, 21, 25
- 3 Refer Unit III Section III: Q35, 37-40

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.8.41 Supplementary problems Q8.1-8.20
- 2 ibid 2, Page No.414 Q1, Page No. 415 Q4, 5, 7, 8

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 8.9-8.29

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 326-348,398-414

2 ibid 3 Page No. 469-477

### **WEBSITE:**

1 <http://cpp.datastructures.net/presentations/MST.pdf>

### **ARTICLES:**

- 1 Gautama J.Kamani, N N Jain, P V Virparia, “Development of Software Dispatcher based Load Balancing Algorithms for Heterogeneous Cluster based Web Systems”, National Journal of System & Information Technology, Vol. 5 No. 2, December 2012, pp. 105-114.
- 2 R. Spring and A. Shrivastava. Scalable and sustainable deep learning via randomized hashing.CoRR, abs/1602.08194, Nov 2016.

## **LECTURES 29-32**

### **SEARCHING AND SORTING**

#### **OBJECTIVE:**

Sorting and searching are the fundamental operations in computer science. These lectures will focus on explaining the various sorting algorithms like insertion, selection, merge sort etc. The purpose of Sorting is to arrange the data according to their values in some specified order, where order can be either ascending or descending.

#### **CONTENTS:**

- Elementary sorting algorithms
  - Insertion sort
  - Selection sort
  - Bubble sort
- Efficient sorting algorithms
  - Shell sort
  - Heap sort
  - Quick sort
    - Meaning and algorithm
    - Implementation of algorithm
    - Algorithm runs with examples
  - Radix sort
    - Meaning and algorithm
    - Implementation of algorithm
    - Algorithm runs with examples
  - Merge sort
    - Merging
    - Iterative merge sort

- Recursive merge sort
- Sorting on several keys
- List and table sort
- Internal sorting in brief

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit III Section I: Q 1 – 25, 45
- 2 Refer Unit III Section II: Q 1 – 10, 19, 22, 23
- 3 Refer Unit I Section III: Q27

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.9.25, Q 9.1-9.8
- 2 ibid 2, Page No.470 ,Q5,10,12,14,15,16,18.

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 9.1-9.24

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 417-470
- 2 ibid 4, Page No. 235-265

#### **WEBSITES:**

- 1 <http://cpp.datastructures.net/presentations/DivideAndConquer.pdf>
- 2 <http://cpp.datastructures.net/presentations/RadixSort.pdf>
- 3 <http://cpp.datastructures.net/presentations/QuickSort.pdf>
- 4 [http://www.eportfolio.lagcc.cuny.edu/scholars/doc\\_fa09/eP\\_fa09/Jariya.%20Phongsai/documents/mac%20286/sorting%20algorithms%20research.pdf](http://www.eportfolio.lagcc.cuny.edu/scholars/doc_fa09/eP_fa09/Jariya.%20Phongsai/documents/mac%20286/sorting%20algorithms%20research.pdf)

## **LECTURES 33-34**

### **HASHING**

#### **OBJECTIVE:**

The search time of each algorithm discussed so far depends on the number of elements in collection S of data. These lectures will focus on discussing a searching technique called hashing which is essentially independent of the number of elements in S.

## **CONTENTS:**

- Hash functions
  - Division
  - Folding
  - Mid Square functions
  - Extraction
  - Radix transformation
- Collision resolution
  - Open addressing
  - Chaining
  - Bucket addressing
- Hash functions for extendible files
  - Extendible hashing
  - Linear hashing

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit IV Section I: Q 46, 47
- 2 Refer Unit IV Section II:Q 24
- 3 Refer Unit III Section III Q36,37,38

## **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 491, Q1-9

## **SUGGESTED READINGS:**

## **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 472-491
- 2 ibid 4, Page No. 165-191

## **ARTICLES:**

- 1 SatyenderNathMandal, “An Innovative Idea to Represent Tree in Huffman Data Compression Algorithm”, The IUP journal of Computer Science, Vol. 7, No. 2, April 2013, pp-25-38.
- 2 R. Spring and A. Shrivastava. Scalable and sustainable deep learning via randomized hashing.CoRR, abs/1602.08194, 2016.

## **WEBSITE:**

- 1 <http://cpp.datastructures.net/presentations/HashTables.pdf>

## LECTURES 35

### STATIC AND DYNAMIC HASHING

#### OBJECTIVE:

In these lectures student will learn the concept of static hashing where the identifiers are stored in a fixed size table called a hash table and dynamic hashing where hash table grows to handle more items. The associated hash function must change as the table grows.

#### CONTENTS:

- The symbol table abstract data type
- Static hashing
  - Hash tables
  - Hashing functions
  - Overflow handling
  - Theoretical evaluation of overflow techniques
- Dynamic hashing
  - Motivation for dynamic hashing
  - Dynamic hashing using directories
  - Analysis of directory based dynamic hashing
  - Directory less dynamic hashing

#### ASSIGNMENTS FROM QUESTION BANK:

- 1 Refer Unit IV Section I: Q 46, 47, 50 – 55, 57, 58, 59
- 2 Refer Unit IV Section II: Q 24, 20, 21, 23, 25, 26

#### SUGGESTED READINGS:

##### ARTICLE:

- 1 Kun Zhao, "A Dynamic Hashing Approach to Build the de BruijnGraph for Genome Assembly", TENCON 2013 -IEEE Region 10 Conference, [http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6719008&url=http%3A%2F%2Fieeexplore.ieee.org%2Fxppls%2Fabs\\_all.jsp%3Farnumber%3D6719008](http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=6719008&url=http%3A%2F%2Fieeexplore.ieee.org%2Fxppls%2Fabs_all.jsp%3Farnumber%3D6719008)

##### WEBSITE:

- 1 <http://cpp.datastructures.net/presentations/HashTables.pdf>

## **UNIT IV: FILES**

### **LECTURES 36-38**

#### **INTRODUCTION TO FILES**

##### **OBJECTIVE:**

These lectures will help in understanding the concept of file organization. A file is a collection of data, usually stored on disk. As a physical entity, a file should be considered in terms of its organization.

##### **CONTENTS:**

- Files, queries and sequential organization
  - Definition of file
  - Sample data for employee file
  - Advantages and disadvantages
- Storage device types
- Operation on sequential files
  - Creation
  - Updating
  - retrieval
- Query types
  - Mode of update
  - Number of keys
  - Mode of retrieval
  - Alternative sequential interpretation of disk memory
- Advantages and disadvantages of sequential file organization

##### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit IV Section I: Q 1 - 11
- 2 Refer Unit IV Section II: Q 1 - 12
- 3 Refer Unit IV Section III: Q22, 23

##### **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No.678, Q 10.5

##### **SUGGESTED READINGS:**

##### **REFERENCE BOOK:**

- 1 ibid 3, Page No 578-595

**WEBSITE:**

1 <http://cpp.datastructures.net/presentations/PatternMatching.pdf>

**LECTURES 39-40****DATA REPRESENTATION AND BUFFERING****OBJECTIVE:**

These lectures will acquaint students with the concept of data representation and buffering techniques.

**CONTENTS:**

- Data representation and density
- Error control techniques
  - Parity codes
  - Hamming distance
  - Linear block codes
  - Parity check matrix
- Devices and channels
- Double buffering
  - Page flipping
  - Triple buffering
  - Quad buffering
- Block buffering
  - Line buffering
  - No buffering

**ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit IV Section I: Q 12 - 14
- 2 Refer Unit IV Section II: Q 13

**SUGGESTED READINGS:****REFERENCE BOOK:**

- 1 *ibid* 3, Page No.597-615.

**WEBSITE:**

1 <http://cpp.datastructures.net/presentations/Tries.pdf>

## **LECTURES 41-42**

### **FILE HANDLING AND EXTERNAL SORTING**

#### **OBJECTIVE:**

These lectures will focus on handling of binary files in C language and to explain the various ways involved in external sorting and the algorithms associated with it.

#### **CONTENTS:**

- Handling of binary files
  - Seeking
  - Positioning
  - Reading
  - Writing
- External sorting
  - Definition
  - Examples
  - K-Way merging
  - K-Way merge with floating buffers
  - Balanced merge sort
  - Polyphase merge sort
  - Buffer handling for parallel operation
  - Optimal merging of runs
    - Two-way merges
    - Analysis of Huffman
    - Construction of a Huffman tree

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Refer Unit IV Section I: Q 15 - 20
- 2 Refer Unit IV Section II: Q 14, 15, 17, 12,23

#### **OTHER ASSIGNMENT:**

- 1 ibid 3, Page No. 667, Q 9.21, 9.22, 9.23, 9.24

#### **SUGGESTED READINGS:**

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 626-655
- 2 ibid 4, Page No. 266-277



**ARTICLE:**

- 1 Kamlesh Kumar Pandey,Rajesh Kumar Bunkar, “A Comparative Study of Different Types of comparison Based Sorting Algorithms in Data Structure”, International Journal of Advanced Research in Computer Science and Software Engineering, February 2014, [http://www.ijarcsse.com/docs/papers/Volume\\_4/2\\_February2014/V4I2-0170.pdf](http://www.ijarcsse.com/docs/papers/Volume_4/2_February2014/V4I2-0170.pdf)

# **LECTURE PLAN**

## **OBJECT ORIENTED PROGRAMMING IN C++**

**MCA-104**

**COURSE OUTLINE**  
**MCA-II SEMESTER**  
**OBJECT ORIENTED PROGRAMMING IN C++ - MCA 104**

**L – 03 T - 01 Credit - 04**

**OBJECTIVE:**

Object-oriented programming languages are playing an increasingly important role in computing science and its applications. With the declining hardware costs, the cost of computing systems is largely dominated by software. Object-oriented analysis and design is an upcoming technology that software professionals have employed in the development of large software projects. The objective is to make students learn object-oriented paradigms, the need for OOPs technology, extending C, C++ at a glance, fundamental constructs of the C++ language, classes and objects, inheritance, polymorphism, generic programming, stream computation , fault tolerant programming with exceptions.

**INTERNAL ASSESSMENT AND ASSIGNMENT (40 MARKS)**

1. Class Test-I – (Written Test)	15 marks
2. Class Test-II - (Written Test)	15 marks
3. Class Assessment + Attendance	10 marks

**COURSE CONTENTS**

**UNIT I**

**(08 Hours)**

- OOP paradigm:
  - Comparison of programming paradigms
  - Characteristics of object-oriented programming languages
  - Object-based programming languages
- C++:
  - Brief history of C++
  - Structure of a C++ program
  - Difference between c and C++ - cin, cout, new, delete operators
  - ANSI/ISO standard C++
  - Comments, working with variables and const qualifiers.
  - Enumeration, arrays and pointer.
- Implementing oops concepts in C++ objects:
  - Classes
  - Encapsulation
  - Data abstraction
  - Inheritance
  - Polymorphism
  - Dynamic binding
  - Message passing
  - Default parameter value

- Using reference variables with functions.

## UNIT II

(10 Hours)

- Abstract data types:
  - class component
  - object & class
- Constructors default and copy constructor
- Assignment operator deep and shallow coping
- Access modifiers – private, public And protected
- Implementing class functions within class declaration or outside the class declaration
- Instantiation of objects scope resolution operator
- Working with friend functions
- Using static class members.
- Understanding compile time polymorphism
  - Function overloading
  - Rules of operator overloading (unary and binary) as member function/friend function
  - Implementation of operator overloading of arithmetic operators, overloading output/input
  - Prefix/ postfix increment and decrement operators, overloading comparison operators
  - Enumeration, Arrays and Pointer
  - Assignment, subscript and function call operator , concepts of namespaces

## UNIT – III

(16 Hours)

- Inheritance:
  - Inheritance
  - Types of Inheritance
  - Abstract Classes
  - Ambiguity resolution using scope resolution operator and Virtual base class
  - Aggregation, composition vs. classification hierarchies
- Overriding inheritance methods
  - Constructors and Destructor in derived classes
  - Multiple Inheritance
- Polymorphism:
  - Polymorphism
  - Type of Polymorphism – compile time and runtime
  - Understanding Dynamic polymorphism
    - Pointer to objects
    - Virtual Functions (concept of VTABLE)
    - pure virtual functions
    - Abstract Class
- Advanced Input/Output, Exception Handling and Manipulating strings:
  - Using istream /ostream member functions
  - Using Manipulators

- Creating Manipulator Functions
- Understanding Implementation of Files
- Writing and Reading Objects
- Understanding of working and implementation of Exception Handling.

#### **UNIT – IV**

**(08 Hours)**

- Generic Programming and mastering STL:
  - Understanding Generic Functions with implementation of searching sorting algorithm
  - Overloading of Function Templates
- Understanding Class Templates using :
  - Implementation of Generic stack
  - linked lists: singly and doubly linked lists
  - Binary Search Tree basic operations
- Understanding Inheritance with Generic Class
- Standard Template Library:–
  - Understanding Components of Standard Template Library
  - Working of Containers
  - Algorithms
  - Iterators and Other STL Elements
- Implementation of Sequence and Associative containers for different Algorithms using their Iterator
- Understanding of Algorithms Requiring Operations on the element using function objects
- Implementing graph algorithm dfs, bfs, minimum spanning tree, dijkstraetc using STL.

## STUDY MATERIAL FOR THE SUBJECT

Following will be the study material for topics of Object Oriented Programming and students are advised to go through the material for thorough understanding of the subject.

### ➤ TEXT BOOKS:

1. **Author's Name(s):** Herbert Schildt  
**Title:** C++: The Complete Reference  
**Edition: IV Year:** 2012  
**Publisher:** TataMcGraw-Hill (ibid 1)
2. **Author's Name(s):** Venugopal, Rajkumar, Ravishankar  
**Title:** Mastering C++  
**Edition: 1 Year:** 2013  
**Publisher:** Tata McGraw Hill Publishing Co. Ltd (ibid 2)
3. **Author's Name(s):** Bruce Eckel  
**Title:** Thinking in C++  
**Edition: II Year:** 2013  
**Publisher:** Pearson (ibid 3)

### ➤ REFERENCE BOOKS:

1. **Author's Name(s):** E Balagurusamy  
**Title:** Object-Oriented Programming C++  
**Edition: V Year:** 2013  
**Publisher:** Tata McGraw Hill Publishing Co. Ltd (ibid 4)
2. **Author's Name(s):** Robert Lafore  
**Title:** Object Oriented Programming in C++  
**Edition: IV Year:** 2014  
**Publisher:** Pearson (ibid 5)

### ➤ PERIODICALS:

1. International Journal of Electronics and Computer Science Engineering, 2012, Vol. 1, Issue 4, [www.ijecse.org](http://www.ijecse.org)
2. International Journal of Computer Science and Information Security, 2012 Vol. 10, Issue 5, <http://ijcsns.org/>
3. International Journal on Computer Science and Engineering, 2012, Vol. 4, Issue 1, [www.ijcse.com/](http://www.ijcse.com/)
4. International Journal of Computers & Technology, 2012, Vol. 2, Issue 1, <http://www.cirworld.com/index.php/ijct>

5. International Journal of Computer Science and Information Security, 2012, Vol. 10, Issue4, [http://www17.us.archive.org/stream/ProceedingsOfJournalOfComputerScienceVol.10No.4April2012/JournalOfComputerScienceIjcsisVol.10No.4April2012\\_djvu.txt](http://www17.us.archive.org/stream/ProceedingsOfJournalOfComputerScienceVol.10No.4April2012/JournalOfComputerScienceIjcsisVol.10No.4April2012_djvu.txt).
6. International Journal of Computer Science and Information Security, 2012, Vol. 10, Issue 5, <http://archive.org/stream/ResearchJournalOfComputerScienceAndInformationSecurity262/JournalOfComputerScienceIjcsisVol.10No.5May2012djvu.txt>
7. International Journal on Computer Science and Engineering, 2012, Vol. 4, Issue 11, <http://www.enggjournals.com/ijcse/doc/IJCSE12-04-11-085.pdf>
8. IEEE Transactions on Computers, August 2013, <http://www.computer.org/csdl/trans/tc/preprint/06574862.pdf>
9. IEEE Conference Publications, 2013 50<sup>th</sup> ACM/EDAC/IEEE, [http://www.cs.columbia.edu/~luca/research/bombieri\\_DAC13.pdf](http://www.cs.columbia.edu/~luca/research/bombieri_DAC13.pdf)
10. International Journal of Scientific & Engineering Research , Volume 6, Issue 10 ,October2015,<http://www.ijser.org/onlineResearchPaperViewer.aspx?Moving-Object-Detection-and-Extraction-for-Video-Editing.pdf>
11. International Journal of Innovative Research in Science , Engineering and Technology , vol. 4 , Issue 2, February 2015 , pp. 539 -544, <http://www.rroj.com/open-access/an-efficient-methodology-for-developing-and-maintaining-consistent-software-using-ooad-tools.pdf>

## **UNIT I**

### **INTRODUCTION TO OOPS AND BASIC TERMS**

#### **OBJECTIVE:**

The objective is to make students aware of the Object-Oriented Programming popularly called OOPs in the software industry. This topic gives the comparison of OOP with other programming languages. Also these lectures would be emphasizing on solving real life problems using OOP concepts.

#### **CONTENTS:**

- OOP paradigm:
  - Comparison of programming paradigms
    - Monolithic programming
    - Procedural programming
    - Structured programming
    - Object-Oriented programming
  - Characteristics of object-oriented programming languages
  - Object-based programming languages

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 1 Section 2 23-27, 45-46
- 2 Unit 1 Section 3 Q1- 7, 27
- 3 Unit 1 Section 4 Q-1-10

#### **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 31 Q1.1-1.18
- 2 ibid 3, Page No 22 Q 1-8

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 256-288
- 2 ibid 2, Page No. 1-35

#### **REFERENCE BOOKS:**

- 1 ibid 4, Page No. 4-13, 19-30.
- 2 ibid 5, Page No. 10-22.
- 3 ibid 2, Page No. 4-25.

#### **WEBSITES:**

- 1 [en.wikipedia.org/wiki/Object-oriented\\_programming](http://en.wikipedia.org/wiki/Object-oriented_programming)



- 2 <http://www.cplusplus.com/doc/tutorial/>
- 3 [http://www.tutorialspoint.com/cplusplus/cpp\\_object\\_oriented.htm](http://www.tutorialspoint.com/cplusplus/cpp_object_oriented.htm)

## **LECTURES 4-5**

### **C++ AT A GLANCE AND MOVING FROM C TO C++**

#### **OBJECTIVE:**

The objective is to introduce the basics of the C++ language which is evolved as a result of extension and enhancement of C. It emphasizes on data decomposition rather than algorithm decomposition. These lectures present the first impression of C++ with its features of OOPs.

#### **CONTENTS:**

- Introduction to C++ :
  - Brief history of C++
  - Structure of a C++ program
  - Difference between c and C++
    - cin
    - cout
    - new
    - delete operators
  - ANSI/ISO standard C++
  - Comments
  - Working with variables
    - const qualifiers.
  - Enumeration
  - Arrays
  - Pointer

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 1 Section 2 Q 5-21,28-44
- 2 Unit 1 Section 3 Q 8-18,25-26
- 3 Unit 1 Section 4 Q 1-12

#### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 71-72 Q2.1-2.23
- 2 ibid 4, Page No. 71 Q 3.1 – 3.19
- 3 ibid 5, Page No. 45 Q 5-25

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 2,Page No. 32 - 67

## **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 36-42
- 2 ibid 5, Page No. 22
- 3 ibid 3, Page No. 50-75

## **LECTURES 6-8**

### **OBJECT ORIENTED PARADIGM & BASICS C++ CONSTRUCTS**

#### **OBJECTIVE:**

The objective is to make students understand how OOPs concepts are implemented in C++. Also basic constructs and concept of data types, arithmetic operators, unary operators, relational and logical operators, assignment operators, conditional operators, bit operators, precedence, and associativity of operators would be discussed in detail.

#### **CONTENTS:**

- Implementing oops concepts in C++ objects:
  - Classes
  - Encapsulation
  - Data abstraction
  - Inheritance
  - Polymorphism
  - Dynamic binding
  - Message passing
  - Default parameter value
  - Using reference variables with functions.

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 1 Section 2 Q 1 – 4, 23-26
- 2 Unit 1 Section 3 1-7

#### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 142, Q4.1-4.25
- 2 ibid 4, Page No. 75, Q 3.1 – 3.6
- 3 ibid 5, Page No. 28-32

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 2,Page No. 73-95
- 2 ibid 3,Page No. 23-79
- 3 ibid 4, Page No. 78-82

## REFERENCE BOOKS:

- 1 ibid 4, Page No. 64-68
- 2 ibid 5, Page No. 16-21

## ARTICLES:

- 1 Sheeba Praveen , RizwanBeg, “Object oriented Full Function Point Analysis: A Model for Real Time Application”, International Journal of Electronics and Computer Science Engineering,2012, Vol. 1, Issue 4, pp 2409-2416 ,<http://www.ijecse.org/wp-content/uploads/2012/10/Volume-1Number-4PP-2409-2416.pdf>
- 2 Muck T. , Frohlich A. ,” Towards Unified Design of Hardware and Software Components Using C++ “, IEEE Transactions on Computers, August 2013,<http://www.computer.org/csdl/trans/tc/preprint/06574862.pdf>
- 3 Shivam , “A Study of Inheritance Using Object Oriented Programming with C++”, International Journal of Computer Applications Volume 123, No.1 August 2015 , <http://www.ijcaonline.org/research/volume123/number1/amalarethnam-2015-ijca-905226.pdf>
- 4 Gomathi. S, Edith Linda. P, “An Overview of Object Oriented Metrics A complete Survey”, International Journal of Computer Science & Engineering Technology, Vol. 4 No.09 Sep 2013.

## LECTURES 9-12

### CLASSES, OBJECTS AND CONSTRUCTORS

#### OBJECTIVE:

The objective of these lectures is to introduce students to the concept of classes and objects. The real need of classes and objects would be discussed and explained. Students would also be made aware of the fact that C++ language offers number of ways to initialize an object. There are constructor and destructor which are used to initialize the value of an object automatically when it is created.

#### CONTENTS:

- Abstract data types:
  - Class component
  - Object & Class
- Passing objects as arguments
  - Passing objects by value
  - Passing objects by reference
  - Passing objects by pointer
- Constructor
  - Syntax of constructor
  - Parameterized constructors
- Destructor
  - Syntax of Destructor
- Constructor overloading
- Difference between constructor and destructor

- Order of construction and destruction
- Constructor with default arguments
- Copy constructor
  - Implementation of copy constructor
  - Usage of copy constructor
  - Advantage of copy constructor
- Nameless Objects
- Const member function and mutable
- Volatile member functions
- Deep and shallow coping

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 2 Section 2 Q 1-4, 7, 9-11, 15-19, 23, 27-31
- 2 Unit 2 Section 3 Q10-18, 20-21
- 3 Unit 2 Section 4 Q1-11, 13-14, 42 -44

### **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 167 Q5.1-5.16
- 2 ibid 4, Page No. 150 Q3.1 – 6.13

### **SUGGESTED READINGS:**

### **TEXT BOOKS:**

- 1 ibid 2,Page No. 313- 316, 363- 397
- 2 ibid 3, Page No. 84-105
- 3 ibid 4,Page No. 80-83

### **REFERENCE BOOK:**

- 1 ibid 5, Page No. 216-256

### **ARTICLES:**

- 1 Mohammad AhmerMunir Khan, Rita Chhikara,“ Impact of Predicate on Object Oriented Programming”, International Journal of Computer Science and Information Security, 2012, Vol. 10, Issue 5, pp 66-68,  
[http://archive.org/stream/ResearchJournalOfComputerScienceAndInformationSecurity\\_262/JournalOfComputerScienceIjcsisVol.10No.5May2012\\_djvu.txt](http://archive.org/stream/ResearchJournalOfComputerScienceAndInformationSecurity_262/JournalOfComputerScienceIjcsisVol.10No.5May2012_djvu.txt)
- 2 Shivam, “A Study on Inheritance Using Object Oriented Programming with C++”, International Journal of Advance Research in Computer Science and Management Studies, Vol. 1 Issue 2 July 2013, <http://www.ijarcsms.com/docs/paper/volume1/issue2/V1I2-0005.pdf>

## **LECTURES 13-15**

### **ACCESS MODIFIERS AND FRIEND FUNCTION**

#### **OBJECTIVE:**

These lectures explain various access modifiers by which the data members and member function gain visibility inside and outside the class. It also describes how a function can access private members of a class and the use of making a class or function as static.

#### **CONTENTS:**

- Access modifiers
  - Private
  - Public
  - Protected
- Implementing class functions
  - Within class declaration
  - Outside the class declaration
- Instantiation of objects
  - Scope resolution operator
- Returning objects from function
- Friend functions and friend classes
- Static data and member function
  - Static data member definition
    - Private static data member
    - Access rules for static data member
  - Static member functions

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 2 Section 2 Q 5-8, 20, 36
- 2 Unit 2 Section 3 Q 1-8, 22-23

#### **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 189-190 Q6.1-6.14

#### **SUGGESTED READING:**

#### **TEXT BOOKS:**

- 1 ibid 2, Page No. 317-360
- 2 ibid 3, Page No. 279-287, 290-299

## **LECTURES 16-17**

### **COMPILE TIME POLYMORPHISM**

#### **OBJECTIVE:**

The lectures describe compile time polymorphism explaining function overloading and operator overloading. It explains the conversion between different data types from basic to object and vice-versa.

#### **CONTENTS:**

- Understanding compile time polymorphism
  - Function overloading
  - Rules of operator overloading (unary and binary)
    - As member function
    - As friend function
  - Implementation of operator overloading
    - Arithmetic operators
    - Overloading output/input operators
- Conversion between basic data types
- Conversion between objects and basic data types

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 2 Section 2 Q 22, 37-39, 42-44
- 2 Unit 2 Section 3 Q24-25

#### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 361-362 Q10.1-10.8
- 2 ibid 4, Page No. 136-137 Q5.1-5.7

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1,Page No. 290-297
- 2 ibid 2,Page No. 432-438, 464-470
- 3 ibid 3, Page No. 328- 349
- 4 ibid 5,Page No. 431-445

#### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 96-109
- 2 ibid 5, Page No. 188,320-343

## **LECTURES 18-21**

### **OPERATOR OVERLOADING**

#### **OBJECTIVE:**

The objective of the lectures is to demonstrate the use of operator overloading through various operators defined in C ++. It also helps in understanding the concept of namespaces.

#### **CONTENTS:**

- Prefix increment operators
- Postfix increment operators
- Prefix decrement operators
- Postfix overloading operators
- Comparison operator overloading
- Assignment operator overloading
- Subscript operator overloading
- Function call operator overloading
- Concepts of namespaces
- Namespaces
  - Namespaces fundamentals
  - Using keyword
  - Unnamed namespaces
  - Some namespaces options
- The std namespace

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 2 Section 2 Q 12-14, 32-35, 40-41
- 2 Unit 2 Section 3 Q19,26
- 3 Unit 2 Section 4 Q12,14

#### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 362 Q10.9-10.20
- 2 ibid 4, Page No. 137 Q5.8-5.10

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 298-315
- 2 ibid 2, Page No. 441-462, 477-488
- 3 ibid 3, Page No. 512-570

#### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 115 - 130

- 2 ibid 5, Page No. 320-343
- 3 ibid 2, Page No.463-468

## **LECTURES 22-24**

### **INHERITANCE**

#### **OBJECTIVE:**

The objective is to make students understand the concepts of inheritance, class hierarchy, and derivation - public, private & protected, Aggregation, composition vs. classification hierarchies.

#### **CONTENTS:**

- Introduction to inheritance
  - Use of inheritance
  - Benefits of inheritance
- Derived class declaration
- Inheritance and member accessibility
- Types of Inheritance
  - Single inheritance
  - Multilevel inheritance
  - Multiple inheritance
  - Hierarchical inheritance
  - Hybrid inheritance
- Abstract Classes
- Ambiguity resolution using scope resolution operator
- Ambiguity resolution using Virtual base class
- Aggregation
- Composition
- Classification
- Composition vs. classification hierarchies

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 3 Section 2 Q 1-8
- 2 Unit 3 Section 3 Q9-11

#### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 398 Q11.1-11.11
- 2 ibid 4, Page No. 165-166 Q6.1-6.10

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1,Page No. 317-324



- 2 ibid 2,Page No. 499-511, 558-567
- 3 ibid 3, Page No. 616-654

### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 144-162
- 2 ibid 5, Page No. 372-420

### **ARTICLES:**

- 1 Sanjay Kumar Dubey, Ajay Rana, “Fuzzy Model for Quantifying Usability of Object Oriented Software System”, International Journal of Computer Science and Information Security, 2012, Vol. 10, Issue 4, pp 79-84, [http://www17.us.archive.org/stream/ProceedingsOfJournalOfComputerScienceVol.10No.4April2012/JournalOfComputerScienceVol.10No.4April2012\\_djvu.txt](http://www17.us.archive.org/stream/ProceedingsOfJournalOfComputerScienceVol.10No.4April2012/JournalOfComputerScienceVol.10No.4April2012_djvu.txt)
- 2 Bombieri N., Hung-Yi Liu, Fummi, F., Carloni, L. ,” A method to abstract RTL IP blocks into C++ code and enable high-level synthesis “,IEEE Conference Publications , 2013 50<sup>th</sup> ACM / EDAC / IEEE, [http://www.cs.columbia.edu/~luca/research/bombieri\\_DAC13.pdf](http://www.cs.columbia.edu/~luca/research/bombieri_DAC13.pdf)
- 3 Gomathi. S, Edith Linda. P, “An Overview of Object Oriented Metrics A complete Survey”, International Journal of Computer Science & Engineering Technology, Vol. 4 No.09 Sep 2013, <http://www.ijcaonline.org/research/volume123/number1/amalarethnam-2015-ijca-905227.pdf>

## **LECTURE 25**

### **CONSTRUCTOR AND DESTRCUTOR IN DERIVED CLASS**

#### **OBJECTIVE:**

C++ takes the middle ground between languages which support dynamic memory allocation and languages in which all variables are dynamically allocated. The objective of this lecture is to introduce how to dynamically allocate memory using C++.

#### **CONTENTS:**

- Overriding inheritance methods
  - Constructors in derived classes
  - Destructor in derived classes
- Constructor invocation and data member initialization
- Overloaded member functions
- Multipath inheritance and virtual base class
- Inheritance and protected members.
- Meaning of overriding
  - Multiple Inheritance

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 3 Section 2 Q 12-13
- 2 Unit 3 Section 3 Q7, 8, 13

## **OTHER ASSIGNMENT:**

1 ibid 2, Page No. 431 Q12.1-12.12

## **SUGGESTED READING:**

## **TEXT BOOKS:**

1 ibid 1, Page No. 331-359

2 ibid 2, Page No. 516-548

## **LECTURES 26-28**

### **VIRTUAL FUNCTIONS AND POLYMORPHISM**

#### **OBJECTIVE:**

The objective is to make students understand the concept of polymorphism, how to implement polymorphism through different techniques in C++.

#### **CONTENTS:**

- Polymorphism:
  - Polymorphism
  - Type of Polymorphism
    - Compile time
    - Runtime
  - Understanding Dynamic polymorphism
    - Pointer to objects
    - Virtual Functions (concept of VTABLE)
- Virtual function
  - Calling a virtual function through a base class reference
- Virtual attribute is inherited
- Virtual function are hierarchical
- Pure virtual function
  - Abstract classes
- Using virtual functions
- Virtual destructors
- Limitations of virtual constructors
- Usage of dynamic binding
- Difference between late binding and early binding.

#### **ASSIGNMENTS FROM QUESTION BANK:**

1 Unit 3 Section 2 Q 9-11

2 Unit 3 Section 3 Q10-12

### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 568-569 Q14.1-14.21
- 2 ibid 4, Page No. 243 Q8.1-8.16

### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 420-439
- 2 ibid 2, Page No. 570-593
- 3 ibid 3, Page No. 660-716

#### **REFERENCE BOOKS:**

- 1 ibid 4, Page No. 201 – 240
- 2 ibid 5, Page No. 504-519

#### **ARTICLE:**

- 1 Manik Sharma, Chandni Sharma, AnkurBhardwaj, Navpreet Singh, Lakhbir Singh “Comparative Study of Static Metrics of Procedural and Object Oriented Programming Languages”, International Journal of Computers & Technology, 2012, Vol. 2, Issue 1, pp 15-19, <http://www.cirworld.com/index.php/ijct/article/view/481/302>

## **LECTURES 29-32**

### **FILE HANDLING**

#### **OBJECTIVE:**

The objective is to make students understand the usage of file stream classes and their hierarchy. The lectures explain the opening and closing of file along with its manipulation with pointers and accesses.

#### **CONTENTS:**

- Introduction
  - What is a file
  - What is stream
- Hierarchy of file stream classes
  - Filebuf()
  - Fstreambuf()
  - Ifstream
  - Ofstream

- fstream
- Opening and closing of files
  - Opening file using constructor
  - Prototype of file stream class constructor
- Opening and closing files explicitly
  - Opening file in write mode
    - Syntax of opening file in write mode
  - Opening file in read mode
    - Syntax opening file in read mode
- Testing for errors
- File open modes
  - in
  - out
  - ate
  - app
  - trunk
  - nocreate
  - noreplace
  - binary
- File pointer and their manipulation
  - Default actions
  - Functions for manipulation of filepointers
    - seekg()
    - seekp()
    - tellg()
    - tellp()
- Sequential access to a file
- ASCII and binary files
- Saving and retrieving of objects
- File input/output with fstream class
- Random access to a file
- Error handling during file manipulation

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 3 Section 2 Q 20 -25
- 2 Unit 3 Section 3 Q 13, 20

### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 594-595 Q15.1-15.13
- 2 ibid 4, Page No. 283-289 Q9.1-9.15

### **SUGGESTED READINGS:**

## **TEXT BOOKS:**

- 1 ibid 1,Page No. 446-460
- 2 ibid 2,Page No. 629-660, 664-691

## **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 251 - 258
- 2 ibid 5, Page No. 568-624

## **ARTICLES:**

- 1 SoumiGhosh, Sanjay Kumar Dubey , Ajay Rana, “Object Oriented Software System based on AHP”, International Journal on Computer Science and Engineering, 2012, Vol. 4, Issue 11, pp. 1848-1853, <http://www.enggjournals.com/ijcse/doc/IJCSE12-04-11-085.pdf>
- 2 S. Pasupathy , Dr. R. Bhavani, “An Efficient Methodology for Developing and Maintaining Consistent Software Using OOAD Tools”, International Journal of Innovative Research in Science , Engineering and Technology , vol. 4 , Issue 2, February 2015 , pp. 539 -544, <http://www.rroj.com/open-access/an-efficient-methodology-for-developing-and-maintaining-consistent-software-using-ood-tools.pdf>

## **LECTURES 33-34**

### **EXCEPTION HANDLING**

#### **OBJECTIVE:**

In these lectures students will learn about how to handle exception and program them using C++. This explains all the exception handling constructs and their handling option.

#### **CONTENTS:**

- Introduction to exception handling
- Error handling
- Exception handling model
- Exception handling construct
  - Try
  - Catch
  - Throw
- List of exceptions
  - Syntax of specifying a list of exceptions
- Raising an unspecified exception
- Exception in a No-exception function
- Exception handling options
  - Catch all exceptions
  - Restricting exceptions

- Rethrowing exceptions
- Exception in constructors and destructors
- Handling uncaught exception
- Exceptions in operator overloaded function
- Exceptions in inheritance tree
- Exceptions in class templates

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 3 Section 2 Q 14-19, 26-29, 30, 31
- 2 Unit 3 Section 3 Q7, 8, 9, 16, 17
- 3 Unit 3 Section 4 Q 16

### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 497-498 Q13.1-13.20
- 2 ibid 4, Page No. 196-197 Q7.5-7.15

### **SUGGESTED READINGS:**

### **TEXT BOOKS:**

- 1 ibid 1,Page No. 362-380
- 2 ibid 2,Page No. 703-742

### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 171 – 187
- 2 ibid 5, Page No. 703-720

## **LECTURES 35-38**

### **TEMPLATES**

### **OBJECTIVE:**

In these lectures students will learn about C++ Programming capability of reusability through generic programming with the help of templates.

### **CONTENTS:**

- Introduction to generic programming and templates
- Generic functions
  - Function with two generic types
  - Explicitly overloading a function template
  - Using standard parameters with template functions
  - Generic function restrictions

- Applying generic functions
  - A generic sort
  - Compacting an array
- Generic classes
  - An example with two generic data types
  - Applying templates classes: a generic array class
  - Using default arguments with template classes
- The power of templates
- Implementing generic programming using templates
- Advantages of templates
- Disadvantages of templates

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 4 Section 2 Q 1-8, 20-22, 26-31
- 2 Unit 4 Section 3 Q 21, 22, 23

### **OTHER ASSIGNMENTS:**

- 1 ibid 2, Page No. 627-628 Q16.1-16.12
- 2 ibid 4, Page No. 376 Q12.1-12.7

### **SUGGESTED READINGS:**

### **TEXT BOOKS:**

- 1 ibid 1,Page No. 462-487
- 2 ibid 2,Page No. 596-626
- 3 ibid 3, Page No. 723-779

### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 359-374
- 2 ibid 5, Page No. 682-702
- 3 ibid 2, Page No.630-642

### **LECTURES 39 -44**

### **STANDARD TEMPLATE LIBRARY**

### **OBJECTIVE:**

The Standard Library is a fundamental part of the C++ Standard. It provides C++ programmers with a comprehensive set of efficiently implemented tools and facilities that can be used for most types of applications. These lectures briefly present the fundamental concepts in the STL.

### **CONTENTS:**

- Introduction
  - Overview of STL
  - STL availability and information
  - Contents of STL
  - Use of STL
- Templates
- STL collection type and Containers
  - Vector
  - List
  - Dequeue
  - Map
  - Set
  - Multipmap
  - Multiset
- STL strings
  - String()
  - Wstring()
- STL stream
  - Stringstream()
  - WstringStream()
- STL Collections General Class Methods
  - empty
  - size
  - begin
  - end
  - rbegin
  - rend
  - clear
  - erase
- Standard input and output
- Vector and Dequeue add and remove methods
  - push\_back
  - push\_front.
  - back
  - front
  - pop\_back
  - pop\_front
- Operator []
- Iterators
  - iterator (forward iterator through collection)
  - reverse\_iterator (reverse iterator through collection)
  - random access ( used by vector declared as forward and reverse\_iterator)
- Declaring collections
- Algorithms



- Sequence
- Sorting
- Numeric
- The remaining STL components
  - Working of components
  - Vector
  - List
  - Dequeue
  - Iterator
  - Tags
  - Associative Containers

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit 4 Section 2 Q 20-22,26-31
- 2 Unit 4 Section 3 Q11-13, 28-30
- 3 Unit 4 Section 4 Q 12-14

#### **OTHER ASSIGNMENT:**

- 1 ibid 4, Page No. 423 Q14.1-14.12

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 626-691
- 2 ibid 2, Page No. 640-645

#### **REFERENCE BOOKS:**

- 1 ibid 4,Page No. 401-419
- 2 ibid 5, Page No. 726-794

# **LECTURE PLAN**

## **OPERATING SYSTEMS**

**MCA-106**

**COURSE OUTLINE**  
**MCA-II SEMESTER**  
**OPERATING SYSTEMS - MCA 106**

**L - 4 Credits - 04**

**OBJECTIVE:**

The objective of the course is to familiarize students with fundamentals of an operating system, developing a competency to recognize its design features and issues associated to each type. Also, the focus is on developing a mature understanding of operating system design and how it impacts application systems design and performance.

**INTERNAL ASSESSMENT AND ASSIGNMENT**

**25 marks**

1. Class Test-I – (Written Test)

15 marks

2. Class Assessment + Attendance

10 marks

**COURSE CONTENTS:**

**UNIT - I**

**(10 Hours)**

**1. INTRODUCTION TO OPERATING SYSTEMS**

- What is an Operating System?
- Multiprogrammed Batches systems
- Time-Sharing Systems
- Personal-computer systems Advantages
- Parallel systems
- Distributed Systems
- Real-Time Systems

**2. PROCESSES**

- Process Concept
- Process Scheduling
- Operation on Processes
- Cooperating Processes
- Threads

**3. CPU SCHEDULING**

- Basic Concepts
- Scheduling Criteria
- Scheduling Algorithms
- Multiple-Processor Scheduling
- Real-Time Scheduling
- Algorithm Evaluation

**4. INTER PROCESS COMMUNICATION & SYNCHRONIZATION**

- Background
- The Critical-Section Problem
- Synchronization Hardware
- Semaphores
- Classical Problems of Synchronization
- Critical Regions
- Monitors
- Synchronization in Solaris 2
- Atomic Transactions

**5. DEADLOCKS**

- System Model
- Deadlock Characterization
- Methods for Handling Deadlocks
- Deadlock Prevention
- Deadlock Avoidance
- Deadlock Detection
- Recovery from Deadlock
- Combined Approach to Deadlock Handling

**6. MEMORY MANAGEMENT**

- Background
- Logical versus Physical Address space
- Swapping
- Contiguous allocation
- Paging
- Segmentation
- Segmentation with Paging

**7. VIRTUAL MEMORY**

- Demand Paging
- Page No. Replacement
- Page No.-replacement Algorithms
- Performance of Demand Paging
- Allocation of Frames
- Thrashing
- Demand Segmentation

## **UNIT – III**

**(12 Hours)**

### **8. DEVICE MANAGEMENT**

- Techniques for Device Management
- Dedicated Devices
- Shared Devices
- Virtual Devices
- Device Characteristics-Hardware Consideration
- Input or Output Devices
- Storage Devices
- Channels and Control Units
- Independent Device Operation
- Buffering
- Multiple Paths
- Block Multiplexing
- Device Allocation Consideration

### **9. SECONDARY-STORAGE STRUCTURE**

- Disk Structure
- Disk Scheduling
- Disk Management
- Swap-Space Management
- Disk Reliability
- Stable-Storage Implementation

## **UNIT – IV**

**(10 Hours)**

### **10. FILE-SYSTEM INTERFACE**

- File Concept
- Access Methods
- Directory Structure
- Protection
- Consistency Semantics

### **11. FILE-SYSTEM IMPLEMENTATION**

- File- System Structure
- Allocation Methods
- Free-Space Management
- Directory Implementation
- Efficiency and Performance
- Recovery

### **12. SECURITY**

- The Security problem
- Goals of protection

- Access matrix
- Authentication
- Program threats
- System threats
- Intrusion detection
- Cryptography

### **13. Case Study**

- Linux Operating System
- Windows XP.

## STUDY MATERIAL FOR THE SUBJECT

Following will be the study material for topics of operating systems and students are advised to go through the material for thorough understanding of the subject.

### ➤ MAIN TEXT BOOK

1. **Author's Name(s):** Silberschatz, Galvin and Gagne  
**Title:** Operating System Concepts  
**Edition: IX Year:** 2015  
**Publisher:** Pearson Education (ibid 1)

### ➤ REFERENCE BOOKS

1. **Author's Name(s):** William Stallings  
**Title:** Operating Systems  
**Edition: VI Year:** 2012  
**Publisher:** Prentice Hall of India Pvt. Ltd (ibid 2)
2. **Author's Name(s):** Andrew S. Tannenbaum  
**Title:** Operating Systems  
**Edition: III Year:** 2015  
**Publisher:** Pearson Education (ibid 3)

### ➤ PERIODICALS

1. Remote Operating System Classification over IPv6, AISec '15 Proceedings of the 8th ACM Workshop on Artificial Intelligence and Security, Pages 57-67, ACM New York, NY, USA, 2015.
2. Resource management in a multi-operating environment, <https://www.google.com/patents/US8983536>, 2015.
3. Recorded history feature in operating system Windowing system, <http://www.freepatentsonline.com/y2015/0355825.html>, 2015.
4. Booting an operating system of a system using a read ahead technique, <https://www.google.com/patents/US9015461>, 2015.
5. International Journal of Information and Communication Technology Research, Volume 2 No. 5, May 2012, pp 448-451, [http://esjournals.org/journaloftechnology/archive/vol2no5/vol2no5\\_5.pdf](http://esjournals.org/journaloftechnology/archive/vol2no5/vol2no5_5.pdf)
6. Journal of Information Science And Engineering, Vol. 28, No. 4, September 2012, [http://www.iis.sinica.edu.tw/page/jise/2012/201209\\_04.pdf](http://www.iis.sinica.edu.tw/page/jise/2012/201209_04.pdf)
7. EMSOFT'12, October 7–12, 2012, [http://www.qucosa.de/fileadmin/data/qucosa/documents/15719/pdftron\\_pdfa2b\\_1149\\_Bjoern\\_Doebel.pdf](http://www.qucosa.de/fileadmin/data/qucosa/documents/15719/pdftron_pdfa2b_1149_Bjoern_Doebel.pdf)
8. Operating System Review, Vol. 47, No. 2, July 2013.
9. Operating System Review, Vol. 47, No. 1, Jan 2013.

10. Microsoft Research, April 2013, <http://research.microsoft.com/en-us/um/people/livshits/papers%5Cpdf%5Chotos13.pdf>
11. Microsoft Research June 2013, <http://research.microsoft.com/pubs/194499/YunxinLiuMobiSys20131.pdf>
12. IUP Journal of Computer Science, Vol. 7, No. 1, January 2013.
13. SIGOPS, Vol 47, No.1, July 2013, pp 66-72.
14. IUP Journal of Computer Science, Vol. 7, No. 3, July 2013.



## **LECTURES 1- 3**

### **INTRODUCTION TO OPERATING SYSTEMS**

#### **OBJECTIVE:**

The objective is to familiarize students with fundamentals of operating system, its need and capabilities. Also, various types of operating systems would be discussed and compared briefly. The focus will be on understanding the major functions and services provided by modern operating systems, what problems those functions were designed to solve, and how to use them effectively.

#### **CONTENTS:**

- Introduction to Operating systems
- Fundamental goal of an Operating System
- Need of an Operating System
- Types of Operating System
  - Batch Operating System
  - Multi programming Operating System
  - Multitasking Operating System
  - Multi user Operating System
  - Time Sharing Operating System
  - Real Time Operating System
  - Network Operating System
  - Distributed Operating System

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I , Section II: Q1,2,3,4,23,25
- 2 Unit I, Section III: Q1,2,3,4,10,16,17

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 44-45, Q 1.16- 1.23

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1,Page No. 1-30

#### **REFERENCE BOOKS:**

- 1 ibid 2,Page No. 50-76
- 2 ibid 3,Page No. 18-20

## ARTICLES:

- 1 BeiWanga, YuxiaChenga, Wenzhi Chena, QinmingHea, Yang Xianga, Mohammad MehediHassanc, AbdulhameedAlelaiwic, "Efficient consolidation-aware VCPU scheduling on multicore virtualization platform", Future Generation Computer Systems, Volume 56, March 2016, Pages 229–237
- 2 Poster Abstract: XBOS: An Extensible Building Operating System, Proceedings of the 2nd ACM International Conference on Embedded Systems for Energy-Efficient Built Environments, Pages 119-120, 2015
- 3 Loris D'Antoni, Alan Dunn, Suman Jana, Tadayoshi Kohno, Benjamin Livshits, David Molnar, Alexander Moshchuk, EyalOfek, FranziskaRoesner, Scott Saponas, MargusVeanes, and Helen J Wang, "Operating System Support for Augmented Reality Applications", Microsoft Research, April 2013, <http://research.microsoft.com/en-us/um/people/livshits/papers%5Cpdf%5Chotos13.pdf>
- 4 MateusKrepeskyLudwich, Antônio Augusto Fröhlich, "On the Formal Verification of Component-based Embedded Operating Systems" SIGOPS, Vol. 47, No. 1, January 2013, pp 28-34

## LECTURES 4-6

### PROCESS SYNCHRONIZATION

#### OBJECTIVE:

The objective of the lectures is to make student acquainted with the significance of inter-process communication and synchronization. The usage of semaphores, locks and monitors in inter-process communication and synchronization would be discussed upon. Also, various classical problems of synchronization would be discussed with their solutions.

#### CONTENTS:

- Processes
  - Two-State Process Model include:
    - Running
    - Not– running
- Various process states are:  
Each process may be in one of following states:
  - New
  - Running
  - Waiting
  - Running
  - Terminated
- Process Control Block (PCB)
  - Program counter
  - CPU state

- CPU scheduling info
- Memory management info
- I/O status info

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section II: Q15, Q20, Q22, Q25,26,27,28,29,30, 31, 33
- 2 Unit I, Section III: Q12, 13, 14, 23, 31

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 142, Q 3.9
- 2 ibid 2, Page No. 154, Q 3.1-3.3

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No.101-140

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 107-146

## **LECTURES 7-9**

### **CONTEXT SWITCHING AND SCHEDULERS**

#### **OBJECTIVE:**

The objective of the lectures is to make students comprehend the creation of high performance system using context switching and scheduling. Thorough understanding of process states and various types of schedulers involved would be conferred with students.

#### **CONTENTS:**

- Context
- Context Switching
- Steps in context switch:
- Context switch versus Process switch
- Process Creation
- Scheduling Queues
- Representation of Process Scheduling CPU Scheduler
  - Switches from running to waiting state.
  - Switches from running to ready state
  - Switches from waiting to ready

- Terminates.
- Dispatcher
- Types of Schedulers
  - Long term schedulers
  - Short term schedule
  - Medium term scheduler
- Cooperating processes
- Inter process communication

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section II: Q13, 19, 35
- 2 Unit I, Section III: Q9, 11, 12, 15

### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 141, Q3, 4

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 116-128

### **ARTICLE:**

1. G. Siva NageswaraRao, S.V.N Srinivasu, “Task Scheduling for Real Time Applications using Mean-Difference Round Robin (MDRR) Algorithm with Dynamic Time Slice (MDDRWDTS)” International Journal of Pharmacy & Technology, August 2016.

## **LECTURES 10-13**

### **CPU SCHEDULING ALGORITHMS**

#### **OBJECTIVE:**

The objective of the lectures is to explain the students the various scheduling criteria and the various scheduling algorithms based on those criteria. The scheduling criteria would be discussed in detail for concrete understanding on utilization of CPU. Various scheduling algorithms would be compared and contrasted.

#### **CONTENTS:**

- Scheduling Criteria
  - CPU utilization
  - Throughput

- Turnaround time
- Waiting time
- Response time
- Optimization Criteria
  - Max CPU utilization
  - Max throughput
  - Min turnaround time
  - Min waiting time
  - Min response time
- CPU Scheduling
- Scheduling Algorithms
  - First-Come, First-Served Scheduling
  - Shortest-Job-First Scheduling
  - Priority Scheduling
  - Round-Robin Scheduling
  - Multilevel Queue Scheduling
  - Multilevel Feedback Queue Scheduling

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section II: Q13,14,19,20,22
- 2 Unit I, Section III: Q 15,22, 28, 29

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 218, Q 5.1-5.9

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 183-213

#### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 132-150

#### **ARTICLES:**

- 1 HongxingWeia, ZhenzhouShaob, Zhen Huanga, RenhaiChend, Yong Guanb, JindongTanc, ZiliShaod," RT-ROS: A real-time ROS architecture on multi-core processors ", Future Generation Computer Systems, Volume 56, March 2016, Pages 171–178.
- 2 R Siyambalapitiya, M Sandirigama, “Performance of a Few Gang Scheduling Algorithms for Multiprocessor Scheduling”, The IUP Journal of Computer Sciences, Vol. 7, No.3, July 2013, pp 7-26.

- 3 Shweta Jain, Dr. Saurabh Jain (2016), "A Review Study on the CPU Scheduling Algorithms", International Journal of Advanced Research in Computer and Communication Engineering, Vol. 5, Issue 8.
- 4 AndysahPuteraUtamaSiahaan (2016), "Comparison Analysis of CPU Scheduling: CFS, SJF and Round Robin", International Journal of Engineering Development and Research, Volume 4, Issue 3.

## **LECTURES 14-18**

### **PROCESS SYNCHRONIZATION**

#### **OBJECTIVE:**

The objective of the lectures is to explain the students the concept of process synchronization and challenges of synchronizing concurrent processes and threads. Various mechanisms to ensure orderly execution of cooperating processes that share logical address space would be discussed comprehensively.

#### **CONTENTS:**

- Process Synchronization
- Bounded-Buffer and Producer/Consumer Problem and algorithm
- The critical section problem
- Solution to Critical- Section Problem
  - Mutual Exclusion
  - Progress
  - Bounded Waiting.
- Two-Process Synchronization
- Bakery Algorithm
- Mutual Exclusion with Test- and- Set
- Semaphore

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit II, Section II: Q 14, 15, 16 ,17,27, 28, 29, 30
- 2 Unit II, Section III: Q 8, 9, 10, 11, 13, 16, 17, 19,24,27, 28, 29, 30

#### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 267-268, Q 6.1-6.7

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 225-231

## REFERENCE BOOKS:

- 1 ibid 2, Page No. 206-216
- 2 ibid 3, Page No. 124-129

## ARTICLES:

- 1 FengyuanXu, Yunxin Liu, Thomas Moscibroda, Ranveer Chandra, Long Jin, Yongguang Zhang, Qun Li, “Optimizing Background Email Sync on Smartphones”, Microsoft Research June 2013, [http://research.microsoft.com/pubs/194499/YunxinLiu\\_MobiSys\\_2013\\_1.pdf](http://research.microsoft.com/pubs/194499/YunxinLiu_MobiSys_2013_1.pdf)
- 2 Mei-Ling Chiang, Hsiang-Yu Hsu, “A Platform for Supporting Dynamic Update and Resource Protection in an Embedded Operating System” Journal of Information Science And Engineering, Vol. 28, No. 4, September 2012, pp 875-893, [http://www.iis.sinica.edu.tw/page/jise/2012/201209\\_04.pdf](http://www.iis.sinica.edu.tw/page/jise/2012/201209_04.pdf)
- 3 Mopati B. Kekgathetse, Keletso J. Letsholo, “A SURVEY ON DATABASE SYNCHRONIZATION ALGORITHMS FOR MOBILE DEVICE”, Journal of Theoretical and Applied Information Technology, Vol.86. No.1, pp. 1-9.

## LECTURES 19-22

### SEMAPHORES & CLASSICAL PROBLEMS OF SYNCHRONIZATION

#### OBJECTIVE:

The objective of the lectures is to introduce the critical-section problem, whose solutions can be used to ensure the consistency of shared data. Also both software and hardware solutions of the critical-section problem would be discussed cogently.

#### CONTENTS:

- Mutual Exclusion with Semaphores
- Semaphore Implementation
- Semaphore as General Synchronization Tool
- Semaphores as Process Synchronization
- Deadlock and Starvation
- Deadlock
- Two Types of Semaphores
  - Counting semaphore
  - Binary semaphore
  - Implementing S (Semaphore) as a Binary
- Classical Problems of Synchronization
  - Bounded-Buffer Problem
  - Readers and Writers Problem
  - Dining-Philosophers Problem
- Bounded- Buffer Problem
- Readers-Writers Problem

- Dining- Philosophers Problem
- Critical Regions
- Monitors
  - Monitor Implementation Using Semaphores

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit II, Section II: Q 14, 15, 16 , 17,18, 19, 20, 24, 25, 26, 31, 34
- 2 Unit II, Section III: Q 8, 9, 10, 11, 13, 16, 17, 19, 34

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 269-270, Q 6.11-6.18

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 234-252

#### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 219-249
- 2 ibid 3, Page No. 124-129

## **LECTURES 23-26**

### **DEADLOCKS IN OPERATING SYSTEMS**

#### **OBJECTIVE:**

The objective of the lectures is to develop a description of deadlocks, which prevent sets of concurrent processes from completing their tasks. After the descriptions focus would be on detection, prevention, avoidance and finally recovery from the deadlock. Various techniques would be discussed to deal with deadlocks in a computer system.

#### **CONTENTS:**

- Deadlock - definition
- Consequences of deadlocks
- The conditions under which deadlocks can occur in a system:
  - A deadlock situation can arise if the following four conditions hold simultaneously in a system:
    - Mutual Exclusion
    - Hold and Wait



- No Preemption
    - Circular Wait
  - All four conditions must hold for a deadlock to occur.
- Resource-Allocation Graph
- Methods for Handling Deadlocks
  - Deadlocks can be handled in many ways. These are as follows:
    - Deadlock prevention
    - Deadlock Avoidance
    - Deadlock Detection and Recovery
    - Detecting Deadlocks with single unit resources
- Detecting Deadlocks with multiple unit resources
- Deadlock Recovery
- Deadlock Avoidance
- The Banker's Algorithm for deadlock avoidance
- Safety Algorithm

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit II, Section II: Q 17, 18, 19, 20, 35
- 2 Unit II, Section III: Q 12,25,26
- 3 Unit II, Section IV: Q5,6,7

### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 307-309, Q 7.1-7.14

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 283-305

#### **REFERENCE BOOKS:**

- 1 ibid 2,Page No. 262-282
- 2 ibid 3, Page No. 159-184

#### **ARTICLE:**

- 1 Thomas Moore, Daniel Stouch," A Generalized Extended Kalman Filter Implementation for the Robot Operating System ", Intelligent Autonomous Systems 13,Volume 302 of the series Advances in Intelligent Systems and Computing pp 335-348,September 2015
- 2 Colin Dixon, RatulMahajan, SharadAgarwal,AJ Brush, Bongshin Lee, Stefan Saroiu, ParamvirBahl, "An Operating System for the Home", Microsoft Research, April 2012, <http://research.microsoft.com/apps/pubs/default.aspx?id=157701>

- 3 Dr. DeeptiMalhotra (2016), “ Different Deadlock Handling Strategies in DistributedEnvironment”, International Journal of Advanced Research in Computer Science and Software Engineering, Volume 6, Issue 2, pp. 167-175.

## **LECTURES 27-31**

### **MEMORY MANAGEMENT**

#### **OBJECTIVE:**

The objective of these lectures is to provide a detailed description of various ways of organizing memory hardware. The various memory-management techniques, including paging and segmentation would be discussed. Also, a case study of Intel Pentium would be discussed to elaborate pure segmentation and segmentation with paging.

#### **CONTENTS:**

- Introduction to memory management
- Logical vs. Physical address space
- Address binding
- Dynamic loading
- Dynamic linking and shared libraries
- Swapping
- Contiguous allocation
  - Memory protection
  - Memory allocation
    - ✓ First fit
    - ✓ Best fit
    - ✓ Worst fit
- Paging
  - Basic method
  - Hardware support
  - Protection with paging
  - Structure of the Page No. table
  - Hierarchical Page No. table
    - Hashed Page No. table
    - Inverted Page No. table
    - Hierarchical paging
    - Shared Page No's
- Segmentation
  - Protection and sharing
  - Fragmentation
- Segmentation with paging
- Intel Pentium case discussion

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit II, Section II: Q 4, 5, 6, 7,21,22,23,27
- 2 Unit II, Section III: Q1, 2, 3, 4, 5, 6, 7,21,22,23,24,25,22, 32
- 3 Unit II, Section IV: Q1,2,3

## **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 350-352, Q 8.1-8.3
- 2 ibid 2, Page No. 336,Q 7.2,7.6-7.8

## **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 315-345

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 311-331

## **LECTURES 32-35**

### **VIRTUAL MEMORY MANAGEMENT**

#### **OBJECTIVE:**

The objective of the lectures is to describe the benefits of a virtual memory system. To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames as virtual memory management strategies. Also the principle of the working-set model for efficient paging in virtual memory management would be discussed.

#### **CONTENTS:**

- Introduction to virtual memory
- Demand Paging
  - What is a lazy swapper?
  - How does demand paging work?
  - Steps in handling a Page No. fault
  - Performance of Demand Paging
- Page Replacement Algorithm
  - FIFO Page replacement
  - Optimal Page replacement
  - LRU Page replacement
  - LRU approximation replacement
  - Counting based replacement

- Page buffering algorithm
- Copy On Write
- Allocation of Frames
  - Minimum number of frames
  - Allocation algorithms
  - Global Vs local allocation
- Thrashing
  - Cause of thrashing
  - Working set model
  - Page No. fault frequency
- Demand Segmentation

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit-II, Section II: Q 4, 7
- 2 Unit II, Section III: Q 1, 4, 5, 6,21, 33, 35
- 3 Unit II, Section IV: Q4

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 409-411, Q 9.4- 9.5,9.7-9.9,9.17,9.18
- 2 ibid 2, Page No. 396,Q 8.1-8.12

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 357-407

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 345-382

### **ARTICLE:**

- 1 BinghaoBao, Carlos Villarraga , Bernard Schmidt, DominikStoffel, Wolfgang Kunz, "A New Property Language for the Specification of Hardware-Dependent Embedded System Software", Languages, Design Methods, and Tools for Electronic System Design,Volume 361 of the series Lecture Notes in Electrical Engineering pp 83-100, December 2015

## **LECTURES 36-39**

### **DEVICE MANAGEMENT**

#### **OBJECTIVE:**

The objective of the lectures is management of I/O devices. The focus would be on functions & techniques of device management. Device characteristics and device allocation strategies would be discussed concisely.

## **CONTENTS:**

- Techniques for Device Management,
  - Dedicated Devices
  - Shared Devices
  - Virtual Devices
- Device Characteristics-Hardware Consideration
  - I/O Devices
  - Storage Devices
- Channels and Control Units,
  - Independent Device Operation
  - Buffering
  - Multiple Paths
  - Block Multiplexing
- Device Allocation Consideration

## **ASSIGNMENT FROM QUESTION BANK:**

- 1 Unit III, Section II: Q 23,24,25
- 2 Unit III, Section III: Q 23, 26

## **OTHER ASSIGNMENT:**

- 1 ibid 2, Page No. 538, 11.1-11.4

## **SUGGESTED READINGS:**

## **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 495-506
- 2 ibid 3, Page No. 269-298

## **ARTICLE:**

- 1 Michael P Mesnier, "Differentiated Storage Devices", SIGOPS, Vol. 45, No 1, Jan 2011, pp 45-53.

## **LECTURES 40-44**

## **SECONDARY-STORAGE STRUCTRE**

## **OBJECTIVE:**

The objective of the lectures is to describe the physical structure of secondary and tertiary storage devices and the resulting effects on the uses of the devices. To explain the performance

characteristics of mass-storage devices & discuss operating-system services provided for mass storage, including RAID and HSM.

### **CONTENTS:**

- Overview of Mass storage (Secondary) structure
  - Magnetic Disk
  - Magnetic Tapes
- Disk Structure
- Disk Scheduling
  - FCFS Scheduling
  - SSTF Scheduling
  - SCAN Scheduling
  - C-SCAN Scheduling
  - LOOK Scheduling
  - C-LOOK Scheduling
- Disk Management
  - Disk Formatting
- Swap-Space Management
- Disk Reliability

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit III, Section II: Q 1, 10,26,28,29, 30
- 2 Unit III, Section III:Q 1,2,3,20,21, 24, 25, 27

### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 545, Q 13.1- 13.11

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 505-543

#### **REFERENCE BOOK:**

- 1 ibid 2, Page No. 507-525

## **LECTURES 45-47**

### **FILE SYSTEM MANAGEMENT**

#### **OBJECTIVE:**

The objective of the lectures is to explain the students the concept of file system which is the most visible aspect of operating systems. It provides the mechanism for online storage of and access to both data and programs of operating system.

## **CONTENTS:**

- File system
  - Field
  - Record
  - File
  - Database
- File Structure
- File System components
  - Device Drivers
  - Basic File System
  - Logical File System
- File Types
- File Access
- File Attributes
- File operations
- Different systems provide different operations to allow storage and retrieval. The few of them of the most common system calls relating to files are:
  - Create
  - Delete
  - Open.
  - Close
  - Read
  - Write
  - Append
  - Get attributes
  - Set attributes
  - Rename
- File Management Systems
- The objectives for a file management system
- File System Architecture
- Functions of File
- Memory-mapped
- Access Methods
  - Sequential Access
  - Direct Access
- Access using an index
- File System Consistency
- File System Mounting

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit IV, Section II: Q 1, 2, 3
- 2 Unit IV, Section III: Q31, 32, 33, 34

### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 457, Q 10.1- 10.5

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 421-456

### **REFERENCE BOOK:**

- 1 ibid 2, Page No. 521-569

### **ARTICLES:**

- 1 PinakiChakraborty, “Design and Implementation of an Object-Oriented Operating System”, The IUP Journal of Computer Sciences, Vol. 7, No.1, Jan 2013, pp 7-36.
- 2 BjörnDöbel Hermann Härtig Michael Engel, “Operating System Support for Redundant Multithreading”, EMSOFT’12, October 7-12, 2012, [http://www.qucosa.de/fileadmin/data/qucosa/documents/15719/pdftron\\_pdfa2b\\_1149\\_BjoernDoebel.pdf](http://www.qucosa.de/fileadmin/data/qucosa/documents/15719/pdftron_pdfa2b_1149_BjoernDoebel.pdf)

## **LECTURES 48-50**

### **FILE SYSTEM INTERFACE AND IMPLEMENTATION**

#### **OBJECTIVE:**

The objective of the lectures is to explain the function of file systems, to describe the interfaces to file systems and to discuss file-system design tradeoffs, including access methods, file sharing, file locking, and directory structures. Also, to explore the details of implementing local file systems, directory structures, block allocation and free-block algorithms.

#### **CONTENTS:**

- File-System Structure
- File Allocation Methods
  - Contiguous Allocation
  - Linked Allocation
  - Hybrid Methods
  - Indexed Allocation
- Free-Space Management
- Directory Implementations
  - Unix
  - MS/DOS
- Directories
  - Directory Organization



- Organization of the file system
- Directory Structure
  - Single-Level Directory System
  - Two-Level Directory
  - Hierarchical Directory Systems
  - Tree-Structured Directory
  - Acyclic-Graph Directory
  - General-Graph Directory
- Directory Operations:
  - Create
  - Delete
  - Opendir
  - Closedir
  - Readdir
  - Rename
  - Link
  - Unlink
- Directory Implementations
  - Linear List
  - Hash Table

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit IV, Section II: Q 4-10, 19, 20
- 2 Unit IV, Section III: Q 1, 2, 3, 22, 29,30

#### **OTHER ASSIGNMENT:**

- 1 ibid 1, Page No. 499-501, Q12.1-12.12

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 461-479

#### **REFERENCE BOOK:**

- 1 ibid 2, Page No. 570-579

#### **ARTICLE:**

- 1 BinghaoBao, Carlos Villarraga, Bernard Schmidt, DominikStoffel, Wolfgang Kunz, "A New Property Language for the Specification of Hardware-Dependent Embedded System Software", Languages, Design Methods, and Tools for Electronic System Design, Volume 361 of the series Lecture Notes in Electrical Engineering pp 83-100, December 2015.

## **LECTURES 51-52 SECURITY**

### **OBJECTIVE:**

The objective of the lectures is to discuss the goals and principles of protection and various security threats and attacks that can hinder the sound working of a modern computer system. The protection domains, an access matrix and how they are used to specify the resources access would be explained briefly. Also, the fundamentals of encryption, authentication, and hashing would be explained. Finally, the various counter measures to security attacks would be described.

### **CONTENTS:**

- The Security problem
  - Goals of protection
  - Access matrix
- Authentication
  - Program Threats
  - System threats
  - Intrusion Detection
  - Cryptography
  - Case studies : LINUX OS and WIN XP

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit IV, Section II: Q 4,5,6,7,8,9,10,11,12,13,14,15, 18
- 2 Unit IV, Section III: Q 1, 2, 3, 22, 24,25,26,27

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 616, Q 14.1-14.4
- 2 ibid 1, Page No. 666, Q 15.1-15.5

### **SUGGESTED READINGS:**

### **TEXT BOOK:**

- 1 ibid 1, Page No. 591,598-604

### **REFERENCE BOOKS:**

- 1 ibid 2, Page No. 635-696

## ARTICLES:

- 1 Ashif S. Harji, Peter A. Buhr, Tim Brecht, “Our Troubles with Linux Kernel Upgrades and Why You Should Care”, SIGOPS, Vol 47, No.1, July 2013, pp 66-72.
- 2 RitikaPandhi, “Framework for Security and Integration for GUI-Based Operating System”, International Journal of Information and Communication Technology Research, Volume 2 No. 5, May 2012, pp 448-451, [http://esjournals.org/journaloftechnology/archive/vol2no5/vol2no5\\_5.pdf](http://esjournals.org/journaloftechnology/archive/vol2no5/vol2no5_5.pdf)
- 3 Sardasht M. Mahmood, Bakhtiar M. Amen, Rebwar M. Nabi (2016), “Mobile Application Security Platforms Survey”, *International Journal of Computer Applications*, Vol 133, No.2, January 201, pp 40-46.
- 4 Mubina Malik, Trisha Patel (2016), “Database Security - Attacks and Control Methods”, *International Journal of Information Sciences and Techniques*, Vol.6, No.1/2, March 2016.

# **LECTURE PLAN**

## **DATABASE MANAGEMENT SYSTEMS**

**MCA-108**

**COURSE OUTLINE**  
**MCA –II SEMESTER**  
**DATABASE MANAGEMENT SYSTEMS- MCA 108**

**L – 3 P - 1 Credit - 04**

**OBJECTIVE:**

Databases and database management systems have become essential for managing business, governments, banks, universities etc. Therefore, the purpose of this course is to enable the students know about the concepts, design, applications of database systems and in depth knowledge of SQL for implementing databases applications.

**INTERNAL ASSESSMENT AND ASSIGNMENT**

**25 marks**

1. Class Test-I – (Written Test)

15 marks

2. Class Assessment + Attendance

10 marks

**UNIT – I**

**[No. of Hrs. 9]**

- Basic concepts: database & database users, characteristics of the database, database systems.
- Concepts and architecture, data models, schemas & instances.
- DBMS architecture & data independence, database languages & interfaces, data modeling using the entity-relationship approach.
- Overview of hierarchical, Network & Relational Data Base Management Systems.

**UNIT – II**

**[No. of Hrs. 12]**

- Relational model, languages & systems: relational data model & relational algebra
- Relational model concepts, relational model constraints, relational algebra
- SQL- a relational database language: data definition in SQL
- View and queries in SQL, specifying constraints and indexes in SQL.

**UNIT – III**

**[No. of Hrs. 10]**

- Oracle Architecture, Logical Data Structures Physical Data Structure,
- Instances, Table Spaces, Types of Tablespaces,
- Internal Memory Structure, Background Processes, Data Types, Roles & Privileges,
- Stored Procedures, User Defined Functions, Cursors, Error Handling, Triggers.

## UNIT – IV

[No. of Hrs. 11]

- Relational data base design: function dependencies & normalization for relational databases.
- Functional dependencies, normal forms based on primary keys, (1NF, 2NF, 3NF & BCNF).
- Lossless join and dependency preserving decomposition.
- Concurrency control & recovery techniques: concurrency control techniques, locking techniques, time stamp ordering, granularity of data items.
- Recovery techniques: recovery concepts, database backup and recovery from catastrophic failures.
- Concepts of object oriented database management systems, Distributed Database Management Systems.

## STUDY MATERIAL FOR THE SUBJECT

Following will be the study material for topics of Database Management Systems and students are advised to go through the material for thorough understanding of the subject.

### TEXT BOOKS:

- 1        **Author's Name(s):** Korth,Silberschatz  
**Title:** Database System Concepts  
**Edition:** 6<sup>th</sup> **Year:** Seventh reprint 2015  
**Publisher:** Tata McGraw Hill (ibid 1)
  
2.        **Author's Name(s):** Elmsari and Navathe  
**Title:** Fundamentals of Database Systems  
**Edition:** 6<sup>th</sup> **Year:** 2014  
**Publisher:** Pearson Education (ibid 2)

### REFERENCE BOOKS:

1.        **Author's Name(s):** Date C.J  
**Title:** An Introduction to Database Systems  
**Edition:** 8<sup>th</sup> **Year:** 2013  
**Publisher:** Narosa Publishing (ibid 3)
  
2.        **Author's Name(s):** Ullman J.D  
**Title:** Principles of Database Systems  
**Edition:** 3<sup>rd</sup> **Year:** 2013  
**Publisher:** Galgotia Publications (ibid 4)
  
3.        **Author's Name(s):** S.K.Singh  
**Title:** Database System  
**Edition:** 2<sup>nd</sup> **Year:** 2011  
**Publisher:** Pearson Education (ibid 5)
  
4.        **Author's Name(s):** Tickoo Sham and Raina Sunil  
**Title:** Learning Oracle 11g: A PL/SQL Approach  
**Edition:** 1<sup>st</sup> **Year:** 2014(Reprint)  
**Publisher:** Pearson Education (ibid 6)
  
5.        **Author's Name(s):** Ivan Bayross (ibid 7)  
**Title:** SQL, PL/SQL  
**Edition:** 4<sup>th</sup> **Year:** 2015(Reprinted)

➤ **PERIODICALS**

1. International Journal of Database Management Systems (IJDMS), AIRCC, VOL. 3, NO. 4, November 2011
2. ACM Transactions on Database Systems, Feb. 2012, Vol. 37, No. 1
3. SIGMOD Record , June 2012, Vol. 41, No. 4
4. ACM Transactions on Database Systems, June 2013 , Vol. 38, No. 2
5. SIGMOD Record, June 2013, Vol. 42, No. 2
6. SIGMOD Record , September 2013, Vol. 42, No. 3
7. ACM Transactions on Database Systems, November 2013, Vol. 38, No. 4
8. SIGMOD Record, December 2013, Vol. 42, No. 4
9. Proceedings of National Seminar: Techno Tryst 2014: Novel Paradigms of Software Engineering and Database Technologies
10. ACM Transactions on Database Systems, May 2014, Vol. 39, No. 2
11. SIGMOD Record, June 2014, Vol. 43, No. 2
12. SIGMOD Record, September 2014, Vol.43, No. 3
13. ACM Transactions on Database Systems, September 2014, Vol. 39, No. 3
14. PCQUEST, November 2014
15. SIGMOD Record, December 2014, Vol. 44, Number 1
16. SIGMOD Record, March 2014, Vol. 44, Number 1
17. ACM Transactions on Database Systems, March 2015, Vol. 40, No. 1
18. SIGMOD Record, March 2015, Vol. 44, Number 1
19. SIGMOD Record, June 2016, Vol. 45, No. 2



# **LECTURE-1**

## **UNIT-I**

### **BASIC CONCEPTS OF DATABASE MANAGEMENT SYSTEMS**

#### **OBJECTIVE:**

The objective is to introduce the basic concepts of database management systems and it also presents a list of capabilities that should be provided by the DBMS software to the DBA, database designers, and users, to help them design, administer and use a database.

#### **CONTENTS:**

- Basics of Database Management Systems
  - Introduction to data, database & database Management Systems
  - Database Users
  - Database applications
  - Advantages of using the Database Approach
  - Characteristics of the Database Approach
  - Types of Databases and Database Applications
  - When Not to Use Databases

#### **ASSIGNMENTS FROM QUESTION BANK:**

##### **UNIT I**

**SHORT ANSWER QUESTIONS:** Q1, 2, 3, 5

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 1- 14
- 2 ibid 2, Page No. 3-28

#### **REFERENCE BOOK:**

- 1 ibid 5, Page No. 3-37

#### **ARTICLES:**

- 1 YahiaChabane, Launentd'Orazio, Le Gruen - Wald, Baraa Mohammad, Christophe Reej, "Medical Data Management in the S4Seo Project", SIGMOD Record, June 2013, Vol. 42, No. 2 , pp 48-53.

2. StephaneBressan.Chee Yong Chan, Wynne Hsu, Mong-Li Lee ,Tok –Wang Ling, Beng Chin Ooi, Kian-LeeTan, AnthaonyK.h. Tunj, “Database Research at the National University of Singapore”, SIGMOD Record , June 2013, Vol 42, No. 2 , pp 48-53.
3. Accenture Big Success with Big Data Survey, “Big Success with Big Data”, PCQUEST, Nov. 2014, pp 11-13.
4. Felix Naumann, “Data Profiling Revisited”, SIGMOD Record, December 2013, Vol. 42, No. 4, pp 40-49.
5. Stavros Christodoulakis, Minos Garofalakis, “Data Management Research at the Technical University of Crete”, SIGMOD Record, December 2013, Vol. 42, No. 4, pp 61- 69.
6. Nicolas Anciaux, Luc Bouganim, Thierry Delot, Sergio LLarri, Leila Kloul, Nathalie Mitton, and Philippe Pucheral, “ Opportunistic Data Services in Least Developed Countries: Benefits, Challenges and Feasibility Issues, SIGMOD Records, March 2014, Volumn 43, Number 1, pp. 54 -63
7. Wei Wang, Meihu Zhang, Gang Chen, “Database Meets Deep Learning: Challenges and Opportunities”, SIGMOD Record, June 16, Vol. 45, No. 2, Pages 17-22

## LECTURES 2-5

### CONCEPTUAL DATABASE DESIGN

#### OBJECTIVE:

The objective is to introduce conceptual modeling which is a very important phase in designing a successful database application.

#### CONTENTS:

- Data Models and Their Categories
  - Data Model Operations
  - Data Model Structure and Constraints
- History of Data Models
- Schemas, Instances, and States
  - Database Schema
  - Schema Diagram
  - Schema Construct
  - Schemas versus Instances
  - Database Schema vs. Database State
- Three-Schema Architecture
- Data Independence
  - Logical Data Independence
  - Physical Data Independence
- DBMS Languages and Interfaces
  - Data Definition Language (DDL)
  - Data Manipulation Language (DML)
- Database System Utilities and Tools
- Centralized and Client-Server Architectures
  - Centralized DBMS

- Two Tier Client-Server Architecture
- Three Tier Client-Server Architecture
- Classification of DBMSs
  - Based on the data model used
  - Other classifications

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT I**

**SHORT ANSWER QUESTIONS:** Q2, 3, 4, 6, 7, 18, 19, 24, 25

**LONG ANSWER QUESTIONS:** Q 1, 2, 3, 4, 5, 8

### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 24-31
- 2 ibid 2, Page No. 29-53

#### **REFERENCE BOOK:**

- 1 ibid 5, Page No. 53-83

#### **ARTICLES:**

- 1 AdreenGuitte, HabeinHacid, Ceeile Favre, Djamel A. ZIghed, “Information Diffusion In Online Social Networks : Summary”, SIGMOD Record, June 2013, Vol 42, No. 2 , pp 17-28.
- 2 Hyunjung Park, Richard Pang, AdityaParmeshwaran, Hector Garcia-MolinamNeokliesPolyzotes, Jennifer Widom, “An Overview and Query Language; Query Processing and Optimization”, SIGMOD Record , June 2012, Vol. 41, No. 4 , pp 22-27.
- 3 Yael Amsterdamer and Tova Milo, “ Foundations of Crowd Data Sourcing”, SIGMOD Records, December 2014, Vol. 43, Number 4, PP. 5 -14.
- 4 Xin Luna Dong, AnastasiosKementsietsidis, Wang- Chiew Tan, “ A Time Machine for Information : Looking Back to Look Forward”, SIGMOD Record, June 2016, Vol. 45, No. 2, Pages 23-32.

## **LECTURES 5-8**

### **DATABASE DESIGN**

#### **OBJECTIVE:**

The objective of these lectures is to understand the Data Modeling Using the Entity-Relationship (ER) Model which is popular high level conceptual data modeling software design it is common

to use data flow diagram, sequence diagram, scenarios and other techniques to specify functional requirements.

## **CONTENTS:**

- Overview of Database Design Process
  - Two main activities
    - Database design
    - Applications design
  - Example Database Application (COMPANY)
- ER Model Concepts
  - Entities and Attributes
  - Types of Attributes
- Entity Types, Value Sets, and Key Attributes
- Relationships and Relationship Types
  - Relationship type vs. relationship set
  - Weak Entity Types
  - Constraints on Relationships
  - Many-to-one (N:1) Relationship
  - Many-to-many (M:N) Relationship Displaying a recursive relationship
  - Attributes of Relationship types
  - Notation for Constraints on Relationships
  - Alternative (min, max) notation for relationship structural constraints
  - The (min,max) notation for relationship constraints
  - Relationships of Higher Degree
  - Discussion of n-ary relationships
- ER Diagrams - Notation
- ER Diagram for COMPANY Schema
- Alternative Notations – UML class diagrams, others
- Data Modeling Tools

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT I**

**SHORT ANSWER QUESTIONS:** Q8, 9, 26, 27-36

**LONG ANSWER QUESTIONS:** Q6, 7, 18, 22

## **SUGGESTED READINGS:**

### **TEXT BOOKS:**

- 1 ibid 1, Page No. 259-290
- 2 ibid 2, Page No. 57-91

## **REFERENCE BOOK:**

1 ibid 5, Page No. 237-248

## **LECTURES 9-11**

### **ENHANCED ER**

#### **OBJECTIVE:**

The objective of these lectures is to understand the Enhanced Entity-Relationship (EER) Modeling for representing the in depth relation between different entities.

#### **CONTENTS:**

- EER stands for Enhanced ER or Extended ER
- Formal Definitions of EER Model
- EER Model Concepts
  - Includes all modeling concepts of basic ER
  - Additional concepts:
    - subclasses/superclasses
    - Representing Specialization in EER Diagrams
    - specialization/generalization
    - categories (UNION types)
    - attribute and relationship inheritance
    - Attribute Inheritance in Superclass /Subclass Relationships
    - Specialization
    - Generalization
    - Constraints on Specialization and Generalization
    - Displaying an attribute-defined specialization in EER diagrams
- The additional EER concepts are used to model applications more completely and more accurately
  - EER includes some object-oriented concepts, such as inheritance
- Alternative diagrammatic notations

#### **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT I**

**SHORT ANSWER QUESTIONS:** Q8, 9, 26

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 295-303
- 2 ibid 2, Page No. 103-131

## REFERENCE BOOK:

- 1 ibid 5, Page No. 275-289

## ARTICLES:

- 1 BarkhaBahl, Vandana Sharma, NavinRajpal, “Topo-Net Spatial Entity Relationship Model for Geographic Information System Applications”, International Journal of Database Management Systems(IJDMS), AIRCC, Vol. 3, No. 4, November 2011, ISSN No. -0975-5705, PP 27-38.
- 2 Alexandre Torres, RenataGalante, Marcelo Pimenta, “ENORM: An Essential Notation for Object-Relational Mapping”, SIGMOD Record, June 2014, Vol. 43, No. 2, pp 23-28.
- 3 ArashTermehchy, Ali Vakilian, YodsawalaiChodpathumwan and Marianne Winslett, “Cost- Effective Conceptual Design for Information Extraction”, ACM Transactions on Database Systems, June 2015, Vol. 40, No. 2, Article 12

## LECTURES 12-15

### UNIT II

#### RELATIONAL MODEL, LANGUAGES & SYSTEMS

#### OBJECTIVE:

The objective of these lectures is to discuss the modeling concepts data structures and constraints provided by the relational model of data.

#### CONTENTS:

- Relational Model Concepts
  - Informal Definitions
  - Example of a Relation
  - Key of a Relation
  - The Schema (or description) of a Relation
  - Formal Definitions – Tuple
  - Formal Definitions – Domain
  - Formal Definitions – State
  - Characteristics Of Relations
- Relational Model Constraints and Relational Database Schemas
  - Relational Integrity Constraints
  - Key Constraints
  - Relational Database Schema
  - Entity Integrity
  - Referential Integrity
  - Displaying a relational database schema and its constraints
  - Other Types of Constraints

- Update Operations and Dealing with Constraint Violations
  - INSERT a tuple.
  - DELETE a tuple.
  - MODIFY a tuple.
  - Possible violations for each operation

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT II**

**SHORT ANSWER QUESTIONS:** Q 1,3,5,8

**LONG ANSWER QUESTIONS:**

**SUGGESTED READINGS:**

**TEXT BOOKS:**

- 1 ibid 1, Page No. 57-104
- 2 ibid 2, Page No. 146-166

**REFERENCE BOOK:**

- 1 ibid 5, Page No. 147-151

**ARTICLES:**

- 1 Goetz Graefe, "Efficient Columnar Storage in B-Trees", SIGMOD Record, Vol. 36, No. 1, pp. 3 - 6
- 2 BarkhaBahl, NavinRajpal, Vandana Sharma, "Triangular Pyramid Framework for Enhanced Object Relational Dynamic Data Model for GIS", IJCSI, Mauritius, Online, 2011, Vol. 8, Issue 1, pp. 320-328.
3. BarkhaBahl, "Paradigms Shift from Conventional to Geographic Information Systems Data Models", Proceedings of National Seminar: Techno Tryst 2014: Novel Paradigms of Software Engineering and Database Technologies, pp 52-57.
4. MichaelBenedikt, Pierre Bourhis and Clemens Ley," Analysis of Schemas with Access Restrictions", ACM Transactions on Database Systems, March 2015, Vol. 40, No. 1, Article 5.

## **LECTURES 16-18**

**ER AND EERR-TO-RELATIONAL MAPPING.**

**OBJECTIVE:**

The objective of these lectures is to present the procedure to create a relational schema from an entity relationship or enhanced ER schema.

## CONTENTS:

- ER-to-Relational Mapping Algorithm
  - Step 1: Mapping of Regular Entity Types
  - Step 2: Mapping of Weak Entity Types
  - Step 3: Mapping of Binary 1:1 Relation Types
  - Step 4: Mapping of Binary 1:N Relationship Types.
  - Step 5: Mapping of Binary M:N Relationship Types.
  - Step 6: Mapping of Multivalued attributes.
  - Step 7: Mapping of N-ary Relationship Types.
- Mapping EER Model Constructs to Relations
  - Step 8: Options for Mapping Specialization or Generalization.
  - Step 9: Mapping of Union Types (Categories).

## ASSIGNMENTS FROM QUESTION BANK:

### UNIT I

### LONG ANSWER QUESTION: Q6

### SUGGESTED READINGS:

### TEXTBOOK:

1 ibid 2, Page No. 226-239

## LECTURES 19-21

### RELATIONAL ALGEBRA AND CALCULUS

### OBJECTIVE:

The objective of these lectures is to introduce the Relational Algebra and Calculus. It provides a formal foundation for relational model operations and it is used as a basis for implementing and optimizing queries in relational database management systems.

### CONTENTS:

- Relational Algebra
  - Unary Relational Operations
    - SELECT (symbol:  $\sigma$  (sigma))
    - PROJECT (symbol:  $\pi$  (pi))
    - RENAME (symbol:  $\rho$  (rho))
  - Relational Algebra Operations From Set Theory
    - UNION (  $\cup$  ), INTERSECTION (  $\cap$  ), DIFFERENCE (or MINUS,  $-$  )
    - CARTESIAN PRODUCT (  $\times$  )



- Binary Relational Operations
  - JOIN (several variations of JOIN exist)
  - DIVISION
- Additional Relational Operations
  - OUTER JOINS, OUTER UNION
  - AGGREGATE FUNCTIONS (These compute summary of information: for example, SUM, COUNT, AVG, MIN, MAX)
- Relational Algebra Expressions
- Examples of Queries in Relational Algebra
- Relational Calculus
  - Tuple Relational Calculus
  - Domain Relational Calculus
- Example Database Application

### **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT II**

**SHORT ANSWER QUESTION: Q10 - 15**

**LONG ANSWER QUESTIONS: Q1, 3, 4, 5, 9**

### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 217-248
- 2 ibid 2, Page No. 173-212
- 3 ibid 5, Page No. 152-169

#### **ARTICLES:**

- 1 RabiaNuray-Turan, Dmitri V. Kalashnikov, SharadMehrotra,“Attribute and Object with Probabilistic Attribute”, ACM Transactions on Database Systems, Feb. 2012, Vol. 37, No. 1, pp 3:1-3:41.
- 2 Guoliang Li, Dong Deng, JianhuaFeng, “A partition Based Method for String Similarity Joins with Edit Distance Constraints”, ACM Transactions on Database Systems, June 2013 , Vol. 38, No. 2, pp 9:1- 9:33
- 3 Hweehwa Pang, Xuhua Ding, “Privacy-Preserving Ad-Hoc Equi-Join on Outsourced Data” , ACM Transactions on Database Systems, September 2014, Vol. 39, No. 3, Article 23, pp 23:1-23:40

## LECTURES 22-25

### SQL- A RELATIONAL DATABASE LANGUAGE

#### OBJECTIVE:

The objective of these lectures is to describe the aspects of the SQL database language, the standard for relational databases. It describes the SQL statements for data definition, schema modification, queries, views and updates. It also describes how common constraints such as key and referential integrity are specified.

#### CONTENTS:

- Data Definition, Constraints and Schema Changes
  - Used to CREATE, DROP, and ALTER the descriptions of the tables (relations) of a database
  - Create table
  - Drop table
  - Alter table
  - CREATE SCHEMA
  - REFERENTIAL INTEGRITY OPTIONS
  - Retrieval Queries in SQL: Selection and Projection
  - Simple SQL Queries
    - Aliases, \* and DISTINCT, Empty WHERE-clause
  - Use of \*
  - Use of distinct
  - Set operations
  - Nesting of queries
  - Correlated nested queries
  - The exists function
  - Nulls in SQL queries
  - Joined relations feature in sql2
  - Grouping
  - The having-clause
  - Substring comparison
  - Arithmetic operations
  - Order by
  - Specifying updates in SQL
  - Insert
  - Delete
  - Delete
  - Update
  - Check examples
  - SQL triggers
  - Views in SQL
  - Specification of views
  - SQL views: an example

- Using a virtual table
- View using aggregation

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT I**

**SHORT ANSWER QUESTION: Q1 - 9**

**LONG ANSWER QUESTION: Q10-12**

### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 113-150
- 2 ibid 2, Page No. 245-292
- 3 ibid 5, Page No. 179-205
- 4 ibid 7, Page No. 114-243

#### **ARTICLES:**

- 1 Jan Chomkiki, Paolo Ciaccia, NiccoloMeneegheette, “Skyline Queries Front and Back”, SIGMOD Record , September 2013, Vol. 42, No. 3 , pp 6-17.
- 2 Larissa R .Lautent, Marcelo M .Scheidt, Carina F- Donneles, “ Web Table Taxonomy nad Formalization “ , SIGMOD Record , Sept, 2013, Vol. 42, No. 3 , pp 28 – 33.
- 3 Andrew Eisenberg, “X Query 3.0 is Nearing Completion “, SIGMOD Record, September 2013, Vol. 42, No. 3, pp 34-41.
- 4 NdapandulaNakashole, GerhandWeibum, FalianSuchanek,” Discovery Semantic Relations from the web and Organizing them with PATTY” SIGMOD Record , June 2013, Vol. 42, No. 2, pp 29-35.
- 5 Ke Yi, Lu Wang, Zhewei Wei, “ Indexing for Summary Queries: Theory and Practice”, ACM Transactions on Database Systems, January 2014, Vol. 39, No. 1, Article 2, 2:2-2:38
- 6 BarzanMozafari, Kai Zeng, Loris D’antoni, Carlo Zaniolo, “ High-Performance Complex Event Processing over Hierarchical Data”, ACM Transactions on Database Systems, November 2013, Vol. 38, No. 4, Article 21, pp 21:1-21:39.
- 7 Luc Segoufin, Inria and ENS Cachan, “ Constant delay enumeration for conjunctive queries”, SIGMOD Records, March 2015, Vol. 44, No. 1, PP. 10-17
- 8 Yihan Wang, Shaoxu Song, Lei Chen, “ A Survey on Accessing Data spaces”, SIGMOD Record, June 2016, Vol. 45, No. 2, Pages 33-44.
- 9 Andrew Pavlo, Mathew Aslett, “What’s Really New with NewSQL ?”, SIGMOD Record, June 2016, Vol. 45, No. @, Pages 45-55.
- 10 Dan Olteanu, Maximilian Schleich, “Factorized Databases”, SIGMOD Record, June 2016, Vol. 45, No. 2, Pages – 5-16.

## **UNIT III**

### **LECTURES 26-29**

#### **ORACLE ARCHITECTURE**

##### **OBJECTIVE:**

The objective of these lectures is to describe the main components of the ORACLE, DBMS architecture and its logical and physical data structures. It also discusses Database Storage, Oracle Software Structures, Shared Database Access Mechanism, and Database Protection.

##### **CONTENTS:**

- Oracle Database Architecture
  - Client/Server Architecture
  - Multitier Architecture
  - Physical Database Structure
  - Logical Database Structure
- Schemas and Common Schema Objects
  - Tables
  - Indexes
  - Views
  - Cursor
  - Triggers
  - Sequences
  - Packages
- Oracle Database Features
- Database Design

##### **ASSIGNMENTS FROM QUESTION BANK:**

## **UNIT III**

**SHORT ANSWER QUESTION:** Q27-31

**LONG ANSWER QUESTIONS:** Q1-Q3, Q19, Q20-25

##### **SUGGESTED READINGS:**

##### **TEXT BOOK:**

1. Ibid 1, Page No. 1157-1189

## **REFERENCE BOOKS:**

- 1 ibid 5, Page No. 739-763
- 2 ibid 6, Page No. 1-2 - 1-13

## **LECTURES 30-32**

### **OBJECTIVE:**

PL/SQL is a programming language that interacts with Oracle database and it is stored directly in the database. In these lectures introduction to PL/SQL will be provided.

### **CONTENTS:**

- Introduction to PL/SQL
  - PL/SQL Variables and Data Types
  - Data Type Conversions
  - DBMS-OUTPUT Package
  - PL/SQL Control Structure
  - Variable Attribute
  - PL/SQL Composite Data Types

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT III**

**LONG ANSWER QUESTIONS: Q4, Q5**

### **SUGGESTED READING:**

## **REFERENCE BOOKS:**

- 1 ibid 6, Page No. 6-2- 6-67.
- 2 ibid 7, Page No. 338-351.

## **LECTURES 32-38**

### **OBJECTIVE:**

The normal flow of a PL/SQL program is disrupted sometimes due to the occurrence of an abnormal condition while executing a program. These lectures describe how these conditions can be handled. It also explains how cursors can be used to retrieve and manipulate data in a database and how triggers are stored in and managed by the oracle database.

## **CONTENTS:**

- Exception Handling in PL/SQL
  - Exception Handling
  - Exception Section
  - Types of Exceptions
  - Pre-defined System Exceptions
  - Undefined Exceptions
- Cursors and Triggers
  - Cursor
  - Implicit Cursors
  - Explicit Cursor
  - Cursor FOR Loop
  - For UPDATE statement
- Trigger
  - Parts of Trigger
  - Row level Trigger
  - Statement level Trigger
  - Before and After Trigger
- Trigger Syntax
  - BEFORE INSERT Trigger
  - AFTER INSERT T Trigger
  - Deleting Triggers
  - Trigger Events
- PL/SQL Subprograms
  - Subprograms : Advantages and Disadvantages of Subprograms
- Procedures
  - Calling a Procedure
  - Parameter Mode
  - Deleting a Procedure
- Functions
  - Calling a Function
  - RETURN Statement
  - Deleting a Function

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT III**

**SHORT ANSWER QUESTIONS: Q27 - 31**

**LONG ANSWER QUESTIONS: Q6-Q10, Q12, Q13, Q14-Q18, Q20-Q25**

## **SUGGESTED READING:**

## **REFERENCE BOOKS:**

- 1 ibid 6, Page No. 7-2 - 9-22
- 2 ibid 7, Page No. 352-403

## **UNIT IV**

### **LECTURES 39-42**

### **RELATIONAL DATA BASE DESIGN**

#### **OBJECTIVE:**

The objective of these lectures is to discuss several pitfalls in relational database design and to describe the normalization process for achieving good designs by testing relations for undesirable types of problematic functional dependencies.

#### **CONTENTS:**

- Informal Design Guidelines for Relational Databases
  - Semantics of the Relation Attributes
  - Redundant Information in Tuples and Update Anomalies
    - Insertion anomalies
    - Deletion anomalies
    - Modification anomalies
  - Null Values in Tuples
    - Reasons for nulls
  - Spurious Tuples
- Functional Dependencies (FDs)
  - Definition of FD
  - Inference Rules for FDs
  - Equivalence of Sets of FDs
  - Minimal Sets of FDs
  - Computing the Minimal Sets of FDs
  - Examples of FD constraints
- Normal Forms Based on Primary Keys
  - Normalization of Relations
  - Practical Use of Normal Forms
  - Definitions of Keys and Attributes Participating in Keys
  - First Normal Form
  - Second Normal Form
  - Third Normal Form
  - General Normal Form Definitions (For Multiple Keys)

- BCNF (Boyce-Codd Normal Form)
  - A relation that is in 3NF but not in BCNF

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT IV**

#### **LONG ANSWER QUESTIONS: Q1-Q5, Q9**

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 323-338
- 2 ibid 2, Page No. 339-374
- 3 ibid 5, Page No. 317-350

#### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 269-279,283-310.

## **LECTURES 43-45**

### **CONCURRENCY CONTROL TECHNIQUES**

#### **OBJECTIVE:**

The objective of these lectures is to discuss number of concurrency control techniques that are used to ensure the non-interference or isolation property of concurrently executing transactions.

#### **CONTENTS:**

- Purpose of Concurrency Control
- Two-Phase locking
  - Essential components
  - The algorithm
    - Basic
    - Conservative
- Dealing with Deadlock and Starvation
  - Deadlock prevention
  - Deadlock detection and resolution
  - Deadlock avoidance
  - Starvation
- Timestamp based concurrency control algorithm
  - Timestamp
  - Basic Timestamp Ordering
  - Strict Timestamp Ordering



- Thomas's Write Rule
- Granularity of data items and Multiple Granularity Locking
- Limitations of CCMs
- Index Locking
- Lock Compatibility Matrix
- Lock Granularity

## **ASSIGNMENTS FROM QUESTION BANK:**

### **UNIT IV**

**SHORT ANSWER QUESTIONS:** Q 1,2,3,4,12-14,15,16-20,49-51

**LONG ANSWER QUESTIONS:** Q14-Q35

### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 661-708
- 2 ibid 2, Page No. 644 - 669

#### **REFERENCE BOOK:**

- 1 ibid 6, Page No. 417-437

#### **ARTICLES:**

- 1 Goetz Graefe, "A Survey of B-tree Logging and Recovery Techniques", ACM Transactions on Database Systems, Feb. 2012, Vol. 37, No. 1, pp 1:1-1:35.
- 2 Fei Li, Hongzhi Wang, Jianzhong Li, "A Survey on Tree Edit Distance Lower Bound Estimation Techniques for Similarity Join on XML Data" SIGMOD Record, December 2013, Vol. 42, No. 4, pp 29-39.

## **LECTURES 46-48**

### **DATABASE RECOVERY TECHNIQUES**

#### **OBJECTIVE:**

The objective of these lectures is to discuss some of the techniques that can be used for database recovery from failures such as system crash and transaction errors.

#### **CONTENTS:**

- Purpose of Database Recovery

- Types of Failure
  - Transaction failure
  - System failure
  - Media failure
- Transaction Log
- Data Updates
  - Immediate Update
  - Deferred Update
  - Shadow update
  - In-place update
- Data Caching
- Transaction Roll-back (Undo) and Roll-Forward
  - Write-Ahead Logging
- Checkpointing
  - Steal/No-Steal and Force/No-Force
- Recovery schemes
  - Deferred Update (No Undo/Redo)
  - Recovery Techniques Based on Immediate Update and Undo/No-redo Algorithm
  - Shadow Paging
- ARIES Recovery Scheme
  - The ARIES Recovery Algorithm
- Recovery in Multidatabase System

#### **ASSIGNMENTS FROM QUESTION BANK:**

#### **UNIT IV**

**LONG ANSWER QUESTIONS: Q10,11,12,13,14**

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 721-759
- 2 ibid 2, Page No. 672 – 694

#### **ARTICLES:**

- 1 Ricardo Jorge Santos, Jorge Bernardino, Marco Vieira, “Approaches and Challenges in Database Intrusion Detection”, SIGMOD Record, September 2014, Vol.43, No. 3, pp 36-47.
2. Aldelir Fernando Luiz, Lau Cheuk Lung, and Miguel Correia, “MITRA: Byzantine Fault-Tolerant Middleware for Transaction Processing on Replicated Databases”, March 2014, Vol. 43, No. 1, pp. 32-38.

## **LECTURES 49-50**

### **LOSSLESS (NON-ADDITIVE) JOIN DEPENDENCY PRESERVATION**

#### **OBJECTIVE:**

The objective of these lectures is to discuss several normalization algorithms using additional type of dependencies like multi-valued dependencies, join dependencies etc.

#### **CONTENTS:**

- Designing a Set of Relations
  - The Approach of Relational Synthesis (Bottomup Design)
- Properties of Relational Decompositions
  - Relation Decomposition and Insufficiency of Normal Forms
  - Dependency Preservation Property of a Decomposition
  - Lossless (Non-additive) Join Property of a Decomposition
- Algorithms for Relational Database Schema
- Multivalued Dependencies and Fourth Normal Form
  - Inference Rules for Functional and Multivalued Dependencies
- Join Dependencies and Fifth Normal Form
- Inclusion Dependencies
- Other Dependencies and Normal Forms

#### **ASSIGNMENTS FROM QUESTION BANK:**

#### **UNIT IV**

**SHORT ANSWERS QUESTIONS:** Q8, 9, 10, 11, 12, 13

#### **SUGGESTED READINGS:**

#### **TEXTBOOKS:**

- 1 ibid 1, Page No. 338-367
- 2 ibid 2, Page No. 382- 410
- 3 ibid 5, Page No. 329-332

## **LECTURES 51**

### **CONCEPTS OF OBJECT ORIENTED DATABASE MANAGEMENT SYSTEMS, DISTRIBUTED DATA BASE MANAGEMENT SYSTEMS**

#### **OBJECTIVE:**

The objective of these lectures is to introduce the Concepts of Object Oriented Database Management Systems, Distributed Data Base Management Systems

**CONTENTS:**

- Object-Oriented Data Model
  - Object Structure
  - Messages and Methods
  - Object Classes
  - Inheritance
  - Object Identity
  - Object-Oriented Languages
  - ODMG(Object Database Management Group) C++ Object Definition Language
  - ODMG C++ Object Manipulation Language
- Distributed Databases
  - Heterogeneous and Homogeneous Databases
  - Distributed Data Storage
  - Distributed Transactions
  - Commit Protocols
  - Concurrency Control in Distributed Databases
  - Availability
  - Distributed Query Processing
  - Heterogeneous Distributed Databases

**ASSIGNMENTS FROM QUESTION BANK:**

**UNIT I V**

**SHORT ANSWER QUESTIONS: Q48**

**LONG ANSWER QUESTION: Q13**

**SUGGESTED READINGS:**

**TEXT BOOKS:**

- 1 ibid 1, Page No. 825-875
- 2 ibid 2, Page No. 703-722, 876-892

**REFERENCE BOOK:**

- 1 ibid 4, Page No. 409-443,511-562

**ARTICLES:**

- 1 Alexander Thomson, Thaddeus Diamond, Shu-Chun Weng, Kun Ren, Philip Shao, and Daniel J. Abadi, “Fast Distributed Transactions and Strongly Consistent replication for OLTP Database Systems”, ACM Transactions on Database Systems, May 2014, Vol. 39, No. 2, Article 11, pp 11:2- 11:39.

- 2 Magdalena Balazinska, Bill Howe, and Dan Suciu, “ The Database Group at the University of Washington”, SIGMOD Records, March 2014, Vol. 43, No. 1, pp. 39-44.

# **LECTURE PLAN**

# **SOFTWARE ENGINEERING**

# **MCA-110**

**COURSE OUTLINE**  
**MCA –II SEMESTER**  
**SOFTWARE ENGINEERING- MCA 110**

**L - 4 Credits - 04**

**OBJECTIVE:**

The objective of this course is to explain to the student's software engineering concepts for developing software. For developing any software it is necessary to first analyze the requirements of the system. The same can be achieved by following various steps of software development life cycle. This course will cover all the stages for software development viz requirement analysis specification, design, implementation, testing and maintenance.

**INTERNAL ASSESSMENT AND ASSIGNMENT**

**40 marks**

1. Class Test-I – (Written Test)	15 marks	
2. Class Test-II - (Written Test)		15 marks
3. Class Assessment + Attendance		10 marks

**COURSE CONTENTS:**

**A. INTRODUCTION TO SOFTWARE ENGINEERING AND REQUIREMENT ANALYSIS (10 Hours)**

- Software Crisis
- Software Processes & Characteristics
- Software life cycle models
  - Waterfall,
  - Prototype,
  - Evolutionary and
  - Spiral Models.
- Requirement engineering
- Requirement elicitation techniques
- Requirements analysis

**B. SOFTWARE PROJECT PLANNING AND SOFTWARE DESIGN (12 Hours)**

- Size Estimation
- Cost Estimation Models
- Risk Management.
- Cohesion & Coupling
- Function Oriented Design
- Object Oriented Design

**C. SOFTWARE METRICS AND SOFTWARE RELIABILITY (10 Hours)**

- Software measurements
- Hardware Reliability & Software Reliability
- Software Reliability models
- Software Quality models
- CMM and ISO 9001

**D. SOFTWARE TESTING AND MAINTENANCE (10 Hours)**

- Testing Process
- Black box and White box testing
- Debugging
- Testing tools and standards
- Maintenance models

## STUDY MATERIAL FOR THE SUBJECT

Following will be the study material for topics of Software Engineering students are advised to go through the material for thorough understanding of the subject.

### ➤ MAIN TEXT BOOKS:

1. **Author's Name(s):** K.K. Aggarwal&Yogesh Singh  
**Title:** Software Engineering  
**Edition:** III **Year:** Reprint 2014  
**Publisher:** New Age International (ibid 1)
2. **Author's Name(s):** Roger S. Pressman  
**Title:** Software Engineering-A Practitioner's Approach  
**Edition:** VII **Year:** Reprint 2013  
**Publisher:** Tata McGraw Hill (ibid 2)

### ➤ REFERENCE BOOKS:

1. **Author's Name(s):** Nasib Singh Gill  
**Title:**Software Engineering  
**Edition:** I **Year:** Reprint2013  
**Publisher:**Khanna Publisher (ibid 3)
2. **Author's Name(s):** Sommerville  
**Title:** Software Engineering  
**Edition:** IX **Year:** Reprint 2012  
**Publisher:** Pearson (ibid 4)
3. **Author's Name(s):** Dorothy Graham, Erik Van Venedaal,  
Isabel Evans, Rex Black  
**Title:** Foundation of Software Testing  
**Edition:** II **Year:** 2012  
**Publisher:** Cengage Learning (ibid 5)

### ➤ PERIODICALS:

1. International Journal of Computing & Applications, Vol. 7, No 1, Jan-June 2012.
2. JSET-Journal of Software Engineering & Technology, Vol. 4, No.1 Jan-June 2012.
3. BVICAM's International Journal of Information Technology, Vol. 4 No. 1, Jan-June 2012.
4. ACM SIGSOFT Software Engineering Notes, Vol. 38 No. 5, September 2013.
5. International Journal of Advanced Networking and Applications, Issue 02, Sept-Oct 2014.
6. I-manager's Journal of Software Engineering, Vol. 8, No. 3, Jan-March 2014.
7. International Journal of Advanced Research in Computer Science and Software Engineering Research, Volume 2, Issue 5, May 2012.



8. International Journal of Software Engineering & Applications (IJSEA), Vol.6, No.1, January 2015.
9. International Journal of Advanced Research in Computer and Communication Engineering Vol. 4, Issue 1, January 2015.
10. International Journal of Advance Foundation And Research In Science & Engineering (IJAFRSE) Volume 1, Issue 9, February 2015.
11. IJCSNS International Journal of Computer Science and Network Security, VOL.15 No.3, March 2015.
12. IEEE Internet Computing, Volume: 20, Issue: 2, March- April 2016.
13. 38<sup>th</sup>International Conference on Software Engineering Companion, May 2016.

# **LECTURE 1**

## **INTRODUCTION TO SOFTWARE ENGINEERING**

### **OBJECTIVE:**

The objective of this lecture is to introduce the basic concepts of software engineering.

### **CONTENTS:**

- Basics of Software Engineering
- History of Software Engineering
- Software Engineering Definitions
- Essential characteristics of Software Engineering
- Goals of Software Engineering
- Some Terminologies
  - Deliverables
  - Milestones
  - Product and Process

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section 2, Q 3, 22-24, 26
- 3 Unit I, Section 3, Q 1, 2, 3, 6, 7

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 17-19, Multiple choice Questions 1.1-1.20
- 2 ibid 1, Page No.19, Exercise Questions 1.1, 1.7-1.10, 1.12-1.25
- 3 ibid 2, Page No. 49-50, Q 1.1, 1.10
- 4 ibid 3, Page No. 31-32, Q1,3,4,7,9,10,11,12, 14, 15, 16, 17

### **SUGGESTED READINGS:**

#### **TEXTBOOKS:**

- 1 ibid 1, Page No. 1-16
- 2 ibid 2, Page No. 33-39

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 3-15
- 2 ibid 4, Page No. 7-14

## **LECTURE 2**

### **SOFTWARE CRISIS AND SOFTWARE PROCESS**

#### **OBJECTIVE:**

The objective of this lecture is to introduce the idea of a software process-a coherent set of activities for software production.

#### **CONTENTS:**

- Software Crisis
  - Meaning of Software Crisis
  - Reasons of Software Crisis
- Software Process
  - Meaning of Software Process
  - Characteristics of Software Process
  - Phases of Software Process
- Generic view of process
  - Layers of Software Engineering

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section 2, Q 1, 2, 4, 5, 6, 7, 19, 23, 25, 31
- 2 Unit I, Section 3, Q 4, 5

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 19, Exercise Questions 1.2-1.6, 1.11
- 2 ibid 2, Page No. 75, Q 2.6-2.8
- 3 ibid 3, Page No. 31-32, Q 2, 17, 19, 21

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 1-16
- 2 ibid 2, Page No. 52-54

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 1-2, 17-18
- 2 ibid 4, Page No. 28-29

## **ARTICLE:**

1. Sonia Haiduc, Venera Arnaoudova, Andrian Marcus and Giuliano Antoniol, "The use of text retrieval and natural language processing in software engineering", Proceedings of the 38<sup>th</sup> International Conference on Software Engineering Companion, Pages 898-899, Austin, Texas, May 14 - 22, 2016, ACM New York, NY, USA

## **LECTURES 3-4**

### **SOFTWARE LIFECYCLE MODELS**

#### **OBJECTIVE:**

The objective of these lectures is to understand the software process models and when they might be used.

#### **CONTENTS:**

- Software Lifecycle models
  - Essence of Lifecycle models
  - Types of Lifecycle models
    - Build and fix model
    - Waterfall model
    - Prototyping
    - Iterative enhancement model
    - Spiral model
    - RAD model
  - Selection of a Lifecycle Model

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section 2, Q 8, 9, 20, 27, 30
- 2 Unit I, Section 3, Q 8, 9, 10, 11, 24, 26-29, 31-33

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 37-38, Multiple Choice Questions 2.1-2.25
- 2 ibid 1, Page No. 38-39, Exercise Questions 2.1-2.22
- 3 ibid 2, Page No. 102, Q 3.7, 3.15
- 4 ibid 3, Page No. 62-64, Q 1-56
- 5 ibid 4, Page No. 54, Q 2.1-2.10

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 20-35
- 2 ibid 2, Page No. 78-90

## REFERENCE BOOKS:

- 1 ibid 3, Page No. 33-56
- 2 ibid 4, Page No. 29-53

## ARTICLES:

- 1 T.Venkatesh, U.N.Sinha, “A Novel Technique in Software Engineering for Building Scalable Large Parallel Software: Demonstration on Dynamical Core of VARSHA – A Global Circulation Model Software”, International Journal of Advanced Networking and Applications, Issue 02, Sept-Oct 2014, pp 2244-2252.
- 2 Amro Mohammad Hani Al-Said Ahmad, “Agile Large-Scale Software Development: Success Factors, Challenges and Solutions”, I-manager’s Journal of Software Engineering, Vol. 8, No. 3, Jan-March 2014, pp 1-12.
- 3 Ms. Shikhamaheshwari, Prof.Dinesh Ch. Jain, “A Comparative Analysis of Different types of Models in Software Development Life Cycle”, International Journal of Advanced Research in Computer Science and Software Engineering Research, Volume 2, Issue 5, May 2012 ISSN: 2277 128X, Page 285-290, Paper Available online at: [www.ijarcse.com](http://www.ijarcse.com) Research Scholar in Dept.of C.S.E. Reader, S.V.I.T.S,Indore S.V.I.T.S, Indore(M.P) [http://www.ijarcse.com/docs/papers/May2012/Volum2\\_issue5/V2I500405.pdf](http://www.ijarcse.com/docs/papers/May2012/Volum2_issue5/V2I500405.pdf)

## LECTURES 5-10

### REQUIREMENT ANALYSIS

#### OBJECTIVE:

The objective of these lectures is to understand the analysis phase of software process and how it is carried on.

#### CONTENTS:

- Requirements Engineering
- Types of Requirements
- Feasibility study
- Requirements Elicitation
  - Interviews
  - Brainstorming Sessions
  - FAST
  - QFD
  - Use Case Approach
- Requirements Analysis
  - DFD
  - Data Dictionary
  - ER diagrams
  - Requirements Documentation
  - Nature of SRS

- Characteristics of SRS
- Organization of SRS
- Requirement Management
- IEEE Std. for SRS

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section 2, Q 10-15, 21,
- 2 Unit I, Section 3, Q 12-18, 25, 28, 29, 34-35
- 3 Unit I, Section 4, Q 1-3,5-6, 7-8

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No.135-137, Multiple Choice Questions 3.1-3.25
- 2 ibid 1, Page No.137-138, Exercise Questions 3.1-3.36
- 3 ibid 3, Page No. 158-160, Q 1-11,16-20,26,30-32, 34-37
- 4 ibid 4, Page No. 116, Q 4.1-4.10

### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 40-75

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 127-146
- 2 ibid 4, Page No. 82-114

#### **ARTICLE:**

- 1 G. Vijaya, S. Arumugam, “Feasibility Study on SPC in Software Process”, International Journal of Computing & Application, Volume 9, No 1, Jan-June 2014, ISSN- 0973-5704, pp 1-8.
- 2 MB Blake, “Reflecting on Software Engineering Research for Internet Computing”, IEEE Internet Computing, Volume- 20, Issue- 2, Mar-Apr. 2016

## **LECTURES 11-17**

### **SOFTWARE PROJECT PLANNING**

#### **OBJECTIVE:**

The objective of these lecture is to understand various project planning methods, what are the various kinds of risks associated with project and how to manage them.

#### **CONTENTS:**

- Project Planning
  - Meaning
- Size Estimation models
  - LOC
  - Function Points
- Cost Estimation models
  - COCOMO
  - COCOMO II
  - Putnam Resource Allocation Method
- Validating Software Estimates
- Software Risk Management
  - Meaning of Risk
  - Types of Risks
  - Risk Management activities

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit II, Section 2, Q 1-3, 17-19, 23-25, 26,27-31
- 2 Unit II, Section 3, Q 1-6, 13, 14, 17, 18, 24, 25
- 3 Unit II, Section 4, Q 1-5, 9, 10

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 198-200, Multiple Choice Questions 4.1-4.25
- 2 ibid 1, Page No. 200-202, Exercise Questions 4.1-4.36
- 3 ibid 2, Page No. 703, Q 23.1, 23.4-23.6
- 4 ibid 3, Page No. 378-380, Q 16,23,27,39,42,52,55

#### **SUGGESTED READINGS:**

#### **TEXT BOOKS:**

- 1 ibid 1, Page No. 139-196
- 2 ibid 2, Page No. 674-694

#### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 338-341,365-372

#### **LECTURES 18-22**

#### **SOFTWARE DESIGN**

#### **OBJECTIVE:**

The objective of these lectures is to introduce various aspects of the software design that are important for software engineers.

## **CONTENTS:**

- Software Design
  - Meaning and Objectives of design
  - Characteristics of design
    - Modularity
    - Coupling
    - Cohesion
  - Classification of Cohesiveness & Coupling
  - Strategy of Design
    - Bottom up design
    - Top down design
    - Hybrid design
  - Approaches of Design
    - Function Oriented design
    - Object Oriented design

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit III, Section 2, Q 1-6,11, 16, 18,26-27
- 2 Unit III, Section 3, Q 1-8, 21, 23, 28
- 3 Unit III, Section 4, Q 2

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit I, Section 2, Q 4-12, 20-22
- 2 Unit I, Section 3, Q 7-12, 15, 16, 18, 19, 21, 22

## **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 248-249, Multiple choice Questions 5.1-5.12
- 2 ibid 1, Page No. 249, Exercise Questions 5.1-5.24
- 3 ibid 2, Page No. 283-284, Q 9.1-9.2, 9.6, 9.9-9.11
- 4 ibid 3, Page No.186-188, Q1-6, 9-10,18-22,27-38,52-53,64,66

## **SUGGESTED READINGS:**

### **TEXT BOOKS:**

- 1 ibid 1, Page No. 203-234
- 2 ibid 2, Page No. 258-281

### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 161-207



## ARTICLES:

- 1 VibhashYadav, Raghuraj Singh &Prashant Kumar Mishra,”Object Oriented Metrics: Features & Defects in Software Design & Quality”, International Journal of Computing & Application, Volume 7, No 1, Jan-June 2012, ISSN- 0973-5704, pp 27-29.
2. AbidSaeedKhattak, Malik Sikander Hayat Khiyal, SanamShahlaRizvi “Verification and Validation of Agent-Based Model Using EVOMAS Approach “IJCSNS International Journal of Computer Science and Network Security, VOL.15 No.3, March 2015, pp 29-35

## LECTURES 23-27

### SOFTWARE METRICS

#### OBJECTIVE:

The objective of these lectures is to describe the very wide range of activities concerned with measurement called metrics in software engineering. It also provides information to support quantitative managerial decision-making during the software lifecycle.

#### CONTENTS:

- Software Metrics
  - Meaning and Objectives of metrics
  - Categories of metrics
    - Product metrics
      - Size metrics
        - ✓ Lines of code
        - ✓ Token Count
        - ✓ Function Count
        - ✓ Data Structure metrics
      - Control complexity metrics
        - ✓ Information flow metrics
      - Halstead Software Science metrics
    - Process metrics
    - Project metrics

#### ASSIGNMENTS FROM QUESTION BANK:

- 1 Unit III, Section 2, Q 1-6,11, 16, 18, 28-29
- 2 Unit III, Section 3, Q 1-8, 21, 29
- 3 Unit III, Section 4, Q 2

#### OTHER ASSIGNMENTS:

- 1 ibid 1, Page No. 304-306, Multiple Choice Questions 6.1-6.20
- 2 ibid 1, Page No. 306-307, Exercise Questions 6.1-6.28
- 3 ibid 2, Page No. 671-672, Q 22.2-22.6

4 ibid 3, Page No. 378-379, Q 1-4,9-14,17-22,29-30,34

### **SUGGESTED READINGS:**

#### **TEXTBOOKS:**

- 1 ibid 1, Page No. 251-286
- 2 ibid 2, Page No. 649-668

#### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 326-365

#### **ARTICLES:**

- 1 VibhashYadav, Raghuraj Singh, Prashant Kumar Mishra, "Object Oriented Metrics: Features & Defects in Software Design & Quality", International Journal of Computing & Application, Vol. 7, No. 1, Jan-June 2012, pp 27-29.
- 2 Gagandeep Singh, "Metrics for Measuring the Quality of Object- Oriented Software", ACM SIGSOFT Software Engineering Notes, Vol 38, No. 5, September 2013, pp-66. <http://doi.acm.org/10.1145/2507288.2507311>.

### **LECTURES 28-32**

#### **SOFTWARE RELIABILITY**

##### **OBJECTIVE:**

The objective of these lectures is to introduce software reliability which is one of the most important aspects of software quality. It is the quantitative study of the operational behavior of software-based systems with respect to user requirements concerning reliability.

##### **CONTENTS:**

- Software Reliability
  - Meaning of Software Reliability
  - Difference between Software reliability and Hardware reliability
  - Failure and Faults
  - Software reliability models
    - Basic Execution Time model
    - Logarithmic Poisson Execution Time model
- Software Quality
  - Meaning of Software Quality
    - McCall Software Quality model
    - Boehm Software Quality model
  - Capability Maturity model
  - ISO 9000

## **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit III, Section 2, Q 7-10, 15, 17,30
- 2 Unit III, Section 3, Q 9-18, 22, 23, 27
- 3 Unit III, Section 4, Q 1, 4

## **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 359-362, Multiple choice Questions 7.1-7.50
- 2 ibid 1, Page No. 306-307, Exercise Questions 7.1-7.35
- 3 ibid 3, Page No. 414-415, Q 6-10,12-14,18-23,29-35, Page No. 452-453, Q 1-8,26,30,32-33.

## **SUGGESTED READINGS:**

### **TEXTBOOKS:**

- 1 ibid 1, Page No. 308-357
- 2 ibid 2, Page No. 461-465

### **REFERENCE BOOK:**

- 1 ibid 3, Page No. 392-423.

### **ARTICLE:**

- 1 V.B Singh, P.K. Kapur, AshaallahBasirzadeh, "Open Source Software Reliability Growth Model by Considering Change-Point", BVICAM's International Journal of IT, Volume 4, No 1, Jan-June 2012, pp 15-20.

## **LECTURES 33-40**

### **SOFTWARE TESTING**

#### **OBJECTIVE:**

The objective of these lectures is to describe the processes of software testing and introduce a range of testing techniques.

#### **CONTENTS:**

- Software Testing
  - Meaning and Objectives of testing
  - Testing process
  - Design of test cases
  - Levels /Strategies of testing
    - Unit testing
    - Integration testing

- Top down integration
  - Bottom up integration
  - Regression testing
- Validation testing
  - Acceptance testing
  - Alpha testing
  - Beta testing
- System testing
  - Recovery testing
  - Security testing
  - Stress testing
  - Performance testing
- Test case design methods
  - Black Box testing
    - Boundary Value Analysis
    - Equivalence class testing
    - Decision table testing
    - Cause effect graphing
  - White Box testing
    - Path testing
    - Data flow testing
    - Mutation testing
- Debugging
  - Meaning
  - Process
  - Techniques
  - Approaches
- Testing tools
  - Static testing tools
  - Dynamic testing tools

### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit IV, Section 2, Q 1-7, 15, 17,24
- 2 Unit IV, Section 3, Q 1-8, 10, 22, 23, 24, 25
- 3 Unit IV, Section 4, Q 1-5, 12, 13

### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 451-455, Multiple choice Questions 8.1-8.50
- 2 ibid 1, Page No. 455-458, Exercise Questions 8.1-8.50
- 3 ibid 2, Page No. 459-460, Q 14.1-14.15
- 4 ibid 3, Page No. 459-460, Q 1-4,7-13,15-21,23-62
- 5 ibid 4, Page No. 232, Q 8.1-8.10
- 6 ibid 5, Page No. 18, Q 1

- 7 ibid 5, Page No. 50, Q 1-3
- 8 ibid 5, Page No. 132, Q 1-7

### **SUGGESTED READINGS:**

#### **TEXTBOOKS:**

- 1 ibid 1, Page No. 365-450
- 2 ibid 2, Page No. 387-456

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 275-322
- 2 ibid 4, Page No. 206-230

#### **ARTICLES:**

1. MahfuzulHuda ,Y.D.S.Arya , M. H. Khan “Testability Quantification Framework of Object Oriented Software: A New Perspective” International Journal of Advanced Research in Computer and Communication Engineering Vol. 4, Issue 1, January 2015, pp. 298-302.
2. AsmaBhat, Prof. S.M.K. Quadri“ The Significance Of Testing Throughout The Software Development Life Cycle-A Roadmap “,International Journal of Advance Foundation And Research In Science & Engineering (IJAFRSE) Volume 1, Issue 9, February 2015 , pp 23-31.
3. Mahfuzul Huda, Y.D.S. Arya, M. H. Khan “Evaluating Effectiveness Factor of Object Oriented Design: A Testability Perspective” International Journal of Software Engineering & Applications (IJSEA), Vol.6, No.1, January 2015 pp. 41-49.

### **LECTURES 40-42**

#### **SOFTWARE MAINTENANCE**

##### **OBJECTIVE:**

The objective of these lectures is to introduce the maintenance phase of software development lifecycle.

##### **CONTENTS:**

- Software Maintenance
  - Meaning and Objectives of maintenance
  - Categories of maintenance
  - Maintenance process
  - Maintenance models
    - Quick fix model
    - Iterative Enhancement model
    - Reuse Oriented model

- Boehm's model
- Taute maintenance model
- Reverse engineering
- Re-engineering
- Configuration Management
- Documentation

#### **ASSIGNMENTS FROM QUESTION BANK:**

- 1 Unit IV, Section 2, Q 8-14, 21-23, 25-26
- 2 Unit IV, Section 3, Q 11-21, 26, 27
- 3 Unit IV, Section 4, Q 11

#### **OTHER ASSIGNMENTS:**

- 1 ibid 1, Page No. 488-490, Multiple choice Questions 9.1-9.25.
- 2 ibid 1, Page No. 490-491, Exercise Questions 9.1-9.40.
- 3 ibid 3, Page No. 504-505, Q 1-8,10,14-17,20-30.
- 4 ibid 4, Page No. 258, Q 9.1, 9.4, 9.5
- 5 ibid 5, Page No. 148, Q1

#### **SUGGESTED READINGS:**

#### **TEXT BOOK:**

- 1 ibid 1, Page No. 459-486

#### **REFERENCE BOOKS:**

- 1 ibid 3, Page No. 490-505
- 2 ibid 4, Page No. 242-252

#### **ARTICLES:**

- 1 Ashok Kumar, Anil Kumar, "Re-engineering of Module for Public Sector and Complexity Measurement", International Journal of Computing & Application, Jan-June 2012, pp 39-42.
- 2 Ashutosh Mishra, VinayakSrivastava, "Intelligent Computing Methods for Software Maintenance: A Review", Journal of Software Engineering & Technology, Vol. 4 No.1, Jan-June 2012, pp 1-12.
- 3 T.Venkatesh, U.N.Sinha, "A Novel Technique in Software Engineering for Building Scalable Large Parallel Software: Demonstration on Dynamical Core of VARSHA – A Global Circulation Model Software", International Journal of Advanced Networking and Applications, Issue 02, Sept-Oct 2014, pp 2244-2252.
- 4 Phil McMinn, Mark Harman, Gordon Fraser, Gregory M. Kapfhammer," Automated search for good coverage criteria: moving from code coverage to fault coverage through search-based software engineering", Proceedings of the 9<sup>th</sup>International Workshop on Search-Based Software Testing, Pages 43-44, May 2016.